# DRAFT AIA Document A141™ - 2014

### Standard Form of Agreement Between Owner and Design-Builder

R4 (4-26-2019)

AGREEMENT made as of the  $\ll$  \_\_\_\_ » day of  $\ll$  \_\_\_\_ » in the year  $\ll$  2019 » (In words, indicate day, month and year.)

#### BETWEEN the Owner:

(Name, legal status, address and other information)

The City of Pompano Beach, FL a political subdivision of the State of Florida 100 West Atlantic Boulevard Pompano Beach, Florida 33060 Attention: Gregory P. Harrison, City Manager

#### and the Design-Builder:

(Name, legal status, address and other information)

DiPompeo Construction Corporation 2301 NW 33<sup>rd</sup>. Court Pompano Beach, FL 33069 Attention: John Di Pompeo Jr.

#### for the following Project:

« »

(Name, location and detailed description)

Design Build Services for 3 Bay Fire Station 114 SW 36th Ave and McNab Road, NW corner of the intersection « »

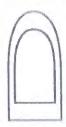
The Owner and Design-Builder agree as follows.

(NOTE: Performance of services to be provided by Design-Builder shall not commence until Owner issues a written Notice to Proceed. A failure of the Owner to authorize work under this Agreement, or to issue a written Notice to Proceed shall not be deemed a breach of this Agreement. Within three (3) business days after Owner issues a written Notice to Proceed with the design documents, as elsewhere addressed herein and in Exhibit A, Design-Builder shall provide Performance and Payment Bonds in unconditional AIA A312 formats, in the penal sum that totals both the design services and stipulated sum for construction. The cost of the bonds is included in the Design-Builder's stipulated sum for construction. Payment for these bonds shall be made by Owner directly to the surety broker at time of delivery to Owner, accompanied by the original invoice therefore. This payment shall be credited against the stipulated sum for construction phase. accordingly.)

ADDITIONS AND DELETIONS:
The author of this document has added information needed for its completion.
The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Consultation with an attorney is also encouraged with respect to professional licensing requirements in the jurisdiction where the Project is located.



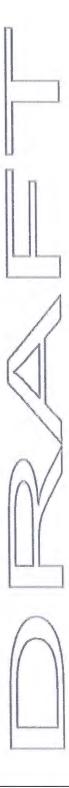
ELECTRONIC COPYING of any portion of this AIA® Document to another electronic file is prohibited and constitutes a violation of copyright laws as set forth in the footer of this document.

#### TABLE OF ARTICLES

- 1 **GENERAL PROVISIONS**
- COMPENSATION AND PROGRESS PAYMENTS 2
- 3 GENERAL REQUIREMENTS OF THE WORK OF THE DESIGN-BUILD CONTRACT
- WORK PRIOR TO EXECUTION OF THE DESIGN-BUILD AMENDMENT 4
- 5 WORK FOLLOWING EXECUTION OF THE DESIGN-BUILD AMENDMENT
- **CHANGES IN THE WORK**
- 7 **OWNER'S RESPONSIBILITIES**
- 8 TIME
- 9 PAYMENT APPLICATIONS AND PROJECT COMPLETION
- 10 PROTECTION OF PERSONS AND PROPERTY
- 11 UNCOVERING AND CORRECTION OF WORK
- 12 **COPYRIGHTS AND LICENSES**
- 13 **TERMINATION OR SUSPENSION**
- **CLAIMS AND DISPUTE RESOLUTION** 14
- 15 **MISCELLANEOUS PROVISIONS**
- 16 SCOPE OF THE AGREEMENT

#### TABLE OF EXHIBITS

- A **DESIGN-BUILD AMENDMENT**
- В **INSURANCE AND BONDS**
- C SUSTAINABLE PROJECTS



#### ARTICLE 1 GENERAL PROVISIONS

#### § 1.1 Owner's Criteria

This Agreement is based on the Owner's Criteria generally addressed in the (a) Owner's Design/Build Request for Proposal P-32-18 Step 2 and any related Addenda; (b) Owner's Design/Build Request for Proposal P-32-18 Step 1 and any related Addenda; and, (c) Design-Builder's RFP P-32-18 Step 2 submittal dated January 25, 2019, each of which being hereby expressly incorporated by reference as if fully set forth herein. In the event that any of the provisions in the foregoing documents conflict with the provisions of this Agreement, the terms and provisions of this Agreement shall control.

(Note the disposition for the following items by inserting the requested information or a statement such as "not applicable" or "unknown at time of execution." If the Owner intends to provide a set of design documents, and the requested information is contained in the design documents, identify the design documents and insert "see Owner's design documents" where appropriate.)

§ 1.1.1 The Owner's program for the Project:

(Set forth the program, identify documentation in which the program is set forth, or state the manner in which the program will be developed.)

See Section1.1 above.

§ 1.1.2 The Owner's design requirements for the Project and related documentation: (Identify below, or in an attached exhibit, the documentation that contains the Owner's design requirements, including any performance specifications for the Project.)

See Section1.1 above.

§ 1.1.3 The Project's physical characteristics:

(Identify or describe, if appropriate, size, location, dimensions, or other pertinent information, such as geotechnical reports; site, boundary and topographic surveys; traffic and utility studies; availability of public and private utilities and services; legal description of the site; etc.)

See Section1.1 above.

§ 1.1.4 The Owner's anticipated Sustainable Objective for the Project, if any:

(Identify the Owner's Sustainable Objective for the Project such as Sustainability Certification, benefit to the environment, enhancement to the health and well-being of building occupants, or improvement of energy efficiency. If the Owner identifies a Sustainable Objective, incorporate AIA Document A141<sup>TM</sup>\_2014, Exhibit C, Sustainable Projects, into this Agreement to define the terms, conditions and Work related to the Owner's Sustainable Objective.)

See Section1.1 above.

§ 1.1.5 Incentive programs the Owner intends to pursue for the Project, including those related to the Sustainable Objective, and any deadlines for receiving the incentives that are dependent on, or related to, the Design-Builder's services, are as follows:

(Identify incentive programs the Owner intends to pursue for the Project and deadlines for submitting or applying for the incentive programs.)

See Section1.1 above.

§ 1.1.6 The Owner's budget for the Work to be provided by the Design-Builder is set forth below:

(Provide total for Owner's budget, and if known, a line item breakdown of costs.)

The Stipulated Contract amount for construction is five million seven hundred and fifty thousand dollars (\$5,750,000.00). For the Design Phase, the lump sum amount of three hundred and ninety thousand dollars (\$390,000.00), which includes all necessary engineering (civil, mechanical, structural, electrical, plumbing and other engineering as required), surveying, architectural, landscape architectural, geotechnical and other professional services necessary for the timely and proper construction and completion of the Project (collectively "Professional Design Services"), as well as related pre-construction services by Design-Builder, and Design-Builder's overhead and profit for the Design Phase.

AIA Document A141<sup>M</sup> - 2014. Copyright © 2004 and 2014 by The American Institute of Architects. All rights reserved. WARNING: This AIA<sup>®</sup> Document is protected by U.S. Copyright Law and International Treaties. Unauthorized reproduction or distribution of this AIA<sup>®</sup> Document, or any portion of it, may result in severe civil and criminal penalties, and will be prosecuted to the maximum extent possible under the law. This draft was produced by AIA software at 14:43:00 ET on 02/20/2019 under Order No.6894257823 which expires on 02/07/2020, and is not for resale.

.1	Owner's design and construction milestone dates:  Design phase milestone dates:
	Complete of drawings and design by March 31, 2020 and Complete construction by September 30, 2021
.2	Submission of Design-Builder Proposal:
	Submitted under Step 2 of solicitation P-32-18
.3	Phased completion dates:
	Two hundred and fourteen (214) calendar days Design Phase and Permitting and five hundred and eighteen (518) calendar days for Construction.
.4	Substantial Completion date:
Proceed is recalendar day Substantial (Builder on co	gn phase, services shall be completed no later than March 31, 2020 so long as a written Notice to eccived by Design-Builder on or before September 4, 2019, or within two hundred and fourteen (214) as from the later receipt of a written Notice to Proceed and the Construction Phase shall reach Completion no later than August 31, 2021 so long as a written Notice to Proceed is received by Designor before September 30, 2020 or within five hundred and eighteen (518) calendar days from the later written Notice to Proceed with construction.  Other milestone dates:
	N/A
Design-Buil	Owner requires the Design-Builder to retain the following Architect, Consultants and Contractors at the der's cost:  legal status, address and other information.)
	Architect
Saltz Miche Owner's Cr	lson Architects and its team as detailed under the proposal submitted by Design-Builder within the
Section of the Park of the Par	Consultants
	See above
.3 (	Contractors

§ 1.1.9 Additional Owner's Criteria upon which the Agreement is based: (Identify special characteristics or needs of the Project not identified elsewhere, such as historic preservation requirements.)

### See Section 1.1 above.

See above

§ 1.1.10 The Design-Builder shall confirm that the information included in the Owner's Criteria complies with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities.

§ 1.1.10.1 If the Owner's Criteria conflicts with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, the Design-Builder shall notify the Owner of the conflict.

§ 1.1.11 If there is in accordance with	a change in the Owner's Criteria, the Owner and the Design-Builder shall execute a Modification Article 6.
documentation in o Unless otherwise a	ner and Design-Builder intend to transmit Instruments of Service or any other information or digital form, they shall endeavor to establish necessary protocols governing such transmissions. Ingreed, the parties will use AIA Document E203 <sup>TM</sup> —2013 to establish the protocols for the transmission, and exchange of digital data and building information modeling.
	n ridentifies the following representative in accordance with Section 7.1.1:
Horacio Danovich 100 West Atlantic Pompano Beach, I	Blvd.
Builder's Submitta	as or entities, in addition to the Owner's representative, who are required to review the Designals are as follows:  ss and other information.)
City departments fire, and Broward	including, but not limited to development services, engineering, utilities, building, public works, Sheriff's Office.
	r will retain the following consultants and separate contractors: cope of work, and, if known, identify by name and address.)
To be determined	
(List name, addre.	n-Builder identifies the following representative in accordance with Section 3.1.2: ss and other information.)
John DiPompeo Ja DiPompeo Constr	r. and Jon Shambo
2301 NW 33 Cou	rt, Unit 102
Pompano Beach,	FL 33069
§ 1.2.5 Neither the notice to the other	e Owner's nor the Design-Builder's representative shall be changed without ten days' written party.
§ 1.3 Binding Dis	
	bject to, but not resolved by, mediation pursuant to Section 14.3, the method of binding dispute
resolution shall be	e the following: priate box. If the Owner and Design-Builder do not select a method of binding dispute resolution
below, or do not s	subsequently agree in writing to a binding dispute resolution other than litigation, Claims will be tion in a court of competent jurisdiction.)
[ « »]	Arbitration pursuant to Section 14.4
[X]	Litigation in a court of competent jurisdiction
[ « »]	Other: (Specify)
« »	
§ 1.4 Definitions	
§ 1.4.1 Design-Bu	uild Documents. The Design-Build Documents consist of this Agreement between Owner and nd its attached Exhibits (hereinafter, the "Agreement"); other documents listed in this Agreement;

AIA Document A141<sup>M</sup> - 2014. Copyright © 2004 and 2014 by The American Institute of Architects. All rights reserved. WARNING: This AIA® Document is protected by U.S. Copyright Law and International Treaties. Unauthorized reproduction or distribution of this AIA® Document, or any portion of it, may result in severe civil and criminal penalties, and will be prosecuted to the maximum extent possible under the law. This draft was produced by AIA software at 14:43:00 ET on 02/20/2019 under Order No.6894257823 which expires on 02/07/2020, and is not for resale.

User Notes:

(1783064686)

and Modifications issued after execution of this Agreement. A Modification is (1) a written amendment to the

Contract signed by both parties, including the Design-Build Amendment, (2) a Change Order, or (3) a Change Directive.

- § 1.4.2 The Contract. The Design-Build Documents form the Contract. The Contract represents the entire and integrated agreement between the parties and supersedes prior negotiations, representations or agreements, either written or oral. The Contract may be amended or modified only by a Modification. The Design-Build Documents shall not be construed to create a contractual relationship of any kind between any persons or entities other than the Owner and the Design-Builder.
- § 1.4.3 The Work. The term "Work" means all the Professional Design Services, construction and related services required to fulfill the Design-Builder's obligations under the Design-Build Documents, whether completed or partially completed, and includes all labor, materials, equipment and services provided or to be provided by the Design-Builder necessary for a fully functional aud operating facility, as well as the necessary design and course of construction administrative services (including, but not limited to, the furnishing and modification of all necessary drawings, specifications, plans, permit applications, and other work product; responding to requests for information from Design-Builder during construction; reviewing construction for conformance with Contract Documents and design; the preparation of a complete O & M Manual; and any other professional architectural, engineering, or other design activity necessary for the timely and proper design and construction of the Project. Design/Builder's "Construction Services" shall include all of the supervision, coordination and performance of the procurement and construction contemplated by this Agreement.
- § 1.4.4 The Project. The Project is the total design and construction of which the Work performed under the Design-Build Documents may be the whole or a part, and may include design and construction by the Owner and by separate contractors.
- § 1.4.5 Instruments of Service. Instruments of Service are representations, in any medium of expression now known or later developed, of the tangible and intangible creative work performed by the Design-Builder, Contractor(s), Architect, and Consultant(s) under their respective agreements. Instruments of Service may include, without limitation, studies, surveys, models, sketches, drawings, specifications, digital models and other similar materials.
- § 1.4.6 Submittal. A Submittal is any submission to the Owner for review and approval demonstrating how the Design-Builder proposes to conform to the Design-Build Documents for those portions of the Work for which the Design-Build Documents require Submittals. Submittals include, but are not limited to, shop drawings, product data, and samples. Submittals are not Design-Build Documents unless incorporated into a Modification.
- § 1.4.7 Owner. The Owner is the person or entity identified as such in the Agreement and is referred to throughout the Design-Build Documents as if singular in number. The term "Owner" means the Owner or the Owner's authorized representative.
- § 1.4.8 Design-Builder. The Design-Builder is the person or entity identified as such in the Agreement and is referred to throughout the Design-Build Documents as if singular in number. The term "Design-Builder" means the Design-Builder or the Design-Builder's authorized representative.
- § 1.4.8.1 Design-Builder acknowledges that Owner shall rely on Design Builder for the proper design, construction and completion of the Work, and that Owner has the contractual right to rely on Design- Builder. Subject to its right to reasonably rely on the accuracy of information provided by Owner, Design-Builder shall be solely responsible for the proper Design and Scheduling of the Work, and Owner's acceptance or approval of a Schedule or other submittal provided by Design-Builder shall not be deemed to operate as a waiver or bar to any rights or claims Owner may thereafter have against Design-Builder in the event Owner subsequently discovers a deficiency in such Schedule, the design drawings, or other submittals.
- § 1.4.8.2 Design-Builder will be responsible for interfacing and coordinating with Owner and consultants employed by Owner ("Owner's Consultants"), and with Broward County, as well as any other governmental agencies, if any, exercising regulatory authority in connection with the project's design and construction, utility providers, and others, so that all will have sufficient information, lead-time, reminders and guidance from Design-Builder to facilitate the timely progress of the Work consistent with the Schedule.

- § 1.4.8.3 Design-Builder warrants that it shall at all times comply with all federal, state, county and local statutes, rules, regulations, ordinances, requirements, executive orders, judicial decisions, Florida Building Code, Florida Department of Transportation, Life Safety Code, Florida Administrative Code, the American with Disabilities Act, and other legislative or executive or judicial requirements (collectively, "Laws"), applicable to the Work including, but not limited to, those pertaining to building permits, occupational health, safety, building codes, construction standards, licensing, storm water, solid wastes, hazardous wastes, grading, air pollution, water pollution, waste disposal, oils, petroleum, native plants, archeological ruins, human remains, cultural resources, land use, historic preservation, endangered or threatened species, navigable waters, waters of the United States and tributaries thereof, dry washes, and all other Laws applicable to the Work. Design-Builder shall incorporate this provision in all subcontracts and hereby assumes responsibility for the compliance of its Subcontractors with said Laws.
- § 1.4.8.4 All of Design-Builder's agreements (each a "Subcontract"; collectively "Subcontracts") with engineers, architects, other design professionals and consultants, Subcontractors, suppliers, materialmen, and other vendors (each a "Contractor" or "Subcontractor" as context warrants and collectively "Subcontractor") shall: (a) be in writing; (b) incorporate the terms of this Agreement; (c) bind and obligate the person or entity to Design-Builder as Design-Builder is bound and obligated to Owner; (d) be contingently assigned to and assumable by Owner, as addressed elsewhere in this Agreement, at Owner's option, in the event this Agreement is terminated; (e) contain the Subcontractor's express consent to such assignment; (f) expressly provide that Owner is the additional obligee of all bonds and warranties given by Subcontractor; and (g) contain any additional provision required by this Agreement. Each agreement between Design-Builder and a Subcontractor shall require that the Subcontract include the provisions of this paragraph in its sub-subcontracts. Owner shall have the right to receive copies of all agreements with Subcontractors, and sub-subcontractors, upon written demand, to verify compliance with the requirements of this Section.
- § 1.4.9 Consultant. A Consultant is a person or entity providing professional services for the Design-Builder for all or a portion of the Work, and is referred to throughout the Design-Build Documents as if singular in number. To the extent required by the relevant jurisdiction, the Consultant shall be lawfully licensed to provide the required professional services.
- § 1.4.10 Architect. The Architect is a person or entity providing design services for the Design-Builder for all or a portion of the Work, and is lawfully licensed to practice architecture in the applicable jurisdiction. The Architect is referred to throughout the Design-Build Documents as if singular in number.
- § 1.4.11 Contractor. A Contractor is a person or entity performing all or a portion of the construction, required in connection with the Work, for the Design-Builder. The Contractor shall be lawfully licensed, if required in the jurisdiction where the Project is located. The Contractor is referred to throughout the Design-Build Documents as if singular in number and means a Contractor or an authorized representative of the Contractor.
- § 1.4.12 Confidential Information. See section 15.9.
- § 1.4.13 Contract Time. Unless otherwise provided, Contract Time is the period of time, including authorized adjustments, as set forth in the Design-Build Amendment for Substantial Completion of the Work.
- § 1.4.14 Day. The term "day" as used in the Design-Build Documents shall mean calendar day nnless otherwise specifically defined.
- § 1.4.15 Contract Sum. The Contract Sum is the amount to be paid to the Design-Builder for performance of the Work, as identified in Article A.1 of the Design-Build Amendment.

#### ARTICLE 2 COMPENSATION AND PROGRESS PAYMENTS

§ 2.1 Compensation for Work Performed Prior To Construction Phase

§ 2.1.1 Unless otherwise agreed, payments for Work performed prior to Construction Phase shall be made monthly. For the Design-Builder's performance of Work prior to Construction Phase, the Owner shall compensate the Design-Builder as follows:

(Insert amount of, or basis for, compensation, including compensation for any Sustainability Services, or indicate the exhibit in which the information is provided. If there will be a limit on the total amount of compensation for Work performed prior to the execution of the Design-Build Amendment, state the amount of the limit.)

#### Per Section 1.1.6

§ 2.1.2 The hourly billing rates for services of the Design-Builder and the Design-Builder's Architect, Consultants and Contractors, if any, are set forth below.

(If applicable, attach an exhibit of hourly billing rates or insert them below.)

N/A	
Individual or Position	Rate
(Paragraphs deleted)	

§ 2.1.4 Payments to the Design-Builder Prior To Construction Phase

§ 2.1.4.1 Payments are due and payable upon presentation of the Design-Builder's detailed invoice, accompanied by documentation reasonably requested by Owner. Amounts unpaid thirty (30) days after the invoice date shall bear interest at the rate entered below, or in the absence thereof at the legal rate prevailing from time to time at the principal place of business of the Design-Builder. One and one half percent per month (1.5% per month)

## ARTICLE 3 GENERAL REQUIREMENTS OF THE WORK OF THE DESIGN-BUILD CONTRACT

§ 3.1 General

- § 3.1.1 The Design-Builder shall comply with any applicable licensing requirements in the jurisdiction where the Project is located.
- § 3.1.2 The Design-Builder shall designate in writing a representative who is authorized to act on the Design-Builder's behalf with respect to the Project.
- § 3.1.3 The Design-Builder shall perform the Work in accordance with the Design-Build Documents. The Design-Builder shall not be relieved of the obligation to perform the Work in accordance with the Design-Build Documents by the activities, tests, inspections or approvals of the Owner.
- § 3.1.3.1 The Design-Builder shall perform the Work in compliance with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities. If the Design-Builder performs Work contrary to applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities, the Design-Builder shall assume responsibility for such Work and shall bear the costs attributable to correction.
- § 3.1.3.2 Neither the Design-Builder nor any Contractor, Consultant, or Architect shall be obligated to perform any act which they believe will violate any applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities. If the Design-Builder determines that implementation of any instruction received from the Owner, including those in the Owner's Criteria, would cause a violation of any applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, the Design-Builder shall notify the Owner in writing. Upon verification by the Owner that a change to the Owner's Criteria is required to remedy the violation, the Owner and the Design-Builder shall execute a Modification in accordance with Article 6.
- § 3.1.4 The Design-Builder shall be responsible to the Owner for acts and omissions of the Design-Builder's employees, Architect, Consultants, Contractors, and their agents and employees, and other persons or entities performing portions of the Work.
- § 3.1.5 General Consultation. The Design-Builder shall schedule and conduct periodic meetings with the Owner to review matters such as procedures, progress, coordination, and scheduling of the Work.
- § 3.1.6 When applicable law requires that services be performed by licensed professionals, the Design-Builder shall provide those services through qualified, licensed professionals, at its own cost and expense. The Owner understands and agrees that the services of the Design-Builder's Architect and the Design-Builder's other Consultants are performed in the sole interest of, and for the exclusive benefit of, the Design-Builder.

§ 3.1.7 The Design-Builder, with the assistance of the Owner, shall prepare and file documents required to obtain necessary approvals of governmental authorities having jurisdiction over the Project.

§ 3.1.8 Progress Reports

§ 3.1.8.1 The Design-Builder shall keep the Owner informed of the progress and quality of the Work. On a monthly basis, or otherwise as agreed to by the Owner and Design-Builder, the Design-Builder shall submit written progress reports to the Owner, showing estimated percentages of completion and other information identified below:

- .1 Work completed for the period;
- .2 Project schedule status;
- .3 Submittal schedule and status report, including a summary of outstanding Submittals;
- .4 Responses to requests for information to be provided by the Owner;
- .5 Approved Change Orders and Change Directives;
- .6 Pending Change Order and Change Directive status reports;
- .7 Tests and inspection reports;
- .8 Status report of Work rejected by the Owner;
- .9 Status of Claims previously submitted in accordance with Article 14;
- Cumulative total of the Cost of the Work to date including the Design-Builder's compensation and Reimbursable Expenses, if any;
- .11 Current Project cash-flow and forecast reports; and
- .12 Additional information as agreed to by the Owner and Design-Builder.

§ 3.1.8.2 In addition, where the Contract Sum is the Cost of the Work with or without a Guaranteed Maximum Price, the Design-Builder shall include the following additional information in its progress reports:

- Design-Builder's work force report;
- .2 Equipment utilization report; and
- .3 Cost summary, comparing actual costs to updated cost estimates.

# § 3.1.9 Design-Builder's Schedules § 3.1.9.1

The Design-Builder, within ten (10) days after execution of this Agreement, shall prepare and submit for the Owner's review and approval a schedule for the Work which demonstrates, at a minimum, the sequencing, timing, and interaction of all significant activities, and all material milestones (including timing of design and permitting, procurement and installation of equipment so as to result in accomplishment of Substantial Completion and Final Completion by the applicable dates provided for elsewhere in this Agreement. The Schedule shall utilize programming and sequencing software and methodology sufficient to demonstrate the critical path and other sequencing, early and late start, early and late completion, and other dates and milestones necessary to show the orderly progress of the Work. From the date of commencement until the date of Final Completion, Design-Builder shall meet with the Owner every week (or more often if requested by Owner or Design-Builder) to review the progress of the Work. In advance of each such meeting, Design-Builder shall provide Owner a written status report identifying whether the Work is on schedule in accordance with the Schedule or whether there are anticipated or potential delays to any critical path elements in the construction of the Work (in which event Design-builder shall provide an analysis thereof). Unless the delay is approved by Owner as an Excusable Delay as defined elsewhere herein, then Design-Builder shall take all actions at its expense, including working overtime and hiring additional personnel, to comply with such Schedule, If Owner approves the delay as an Excusable Delay, the Schedule shall be modified to the extent mutually agreed upon by Owner and Design-Builder. Except with respect to Owner furnished equipment, Design-Builder shall be solely responsible for ensuring that all Work is timed, sequenced, coordinated, and supervised so as to result in Substantial Completion and Final Completion by the dates established in this Agreement.

The schedule, including the time required for design and construction, shall not exceed time limits current under the Design-Build Documents, shall be revised at appropriate intervals as required by the conditions of the Work and Project, shall be related to the entire Project to the extent required by the Design-Build Documents, shall provide for expeditious and practicable execution of the Work, and shall include allowances for periods of time required for the Owner's review and for approval of submissions by authorities having jurisdiction over the Project.

§ 3.1.9.2 The Design-Builder shall perform the Work in general accordance with the most recent schedules submitted to the Owner.

§ 3.1.10 Certifications. Upon the Owner's written request, the Design-Builder shall obtain from the Architect, Consultants, and Contractors, and furnish to the Owner, certifications with respect to the documents and services provided by the Architect, Consultants, and Contractors (a) that, to the best of their knowledge, information and belief, the documents or services to which the certifications relate (i) are consistent with the Design-Build Documents, except to the extent specifically identified in the certificate, and (ii) comply with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities governing the design of the Project; and (b) that the Owner and its consultants shall be entitled to rely upon the accuracy of the representations and statements contained in the certifications. The Design-Builder's Architect, Consultants, and Contractors shall not be required to execute certificates or consents that would require knowledge, services or responsibilities beyond the scope of their services.

#### § 3.1.11 Design-Builder's Submittals

- § 3.1.11.1 Prior to submission of any Submittals, the Design-Builder shall prepare a Submittal schedule, and shall submit the schedule for the Owner's approval. The Owner's approval shall not unreasonably be delayed or withheld. The Submittal schedule shall (1) be coordinated with the Design-Builder's schedule provided in Section 3.1.9.1, (2) allow the Owner reasonable time to review Submittals, and (3) be periodically updated to reflect the progress of the Work. If the Design-Builder fails to submit a Submittal schedule, the Design-Builder shall not be entitled to any increase in Contract Sum or extension of Contract Time based on the time required for review of Submittals.
- § 3.1.11.2 By providing Submittals the Design-Builder represents to the Owner that it has (1) reviewed and approved them, (2) determined and verified materials, field measurements and field construction criteria related thereto, or will do so and (3) checked and coordinated the information contained within such Submittals with the requirements of the Work and of the Design-Build Documents.
- § 3.1.11.3 The Design-Builder shall perform no portion of the Work for which the Design-Build Documents require Submittals until the Owner has approved the respective Submittal.
- § 3.1.11.4 The Work shall be in accordance with approved Submittals except that the Design-Builder shall not be relieved of its responsibility to perform the Work consistent with the requirements of the Design-Build Documents. The Work may deviate from the Design-Build Documents only if the Design-Builder has notified the Owner in writing of a deviation from the Design-Build Documents at the time of the Submittal and a Modification is executed authorizing the identified deviation. The Design-Builder shall not be relieved of responsibility for errors or omissions in Submittals by the Owner's approval of the Submittals.
- § 3.1.11.5 All professional design services or certifications to be provided by the Design-Builder, including all drawings, calculations, specifications, certifications, shop drawings and other Submittals, shall contain the signature and seal of the licensed design professional preparing them. Submittals related to the Work designed or certified by the licensed design professionals, if prepared by others, shall bear the licensed design professional's written approval. The Owner and its consultants shall be entitled to rely upon the adequacy, accuracy and completeness of the services, certifications or approvals performed by such design professionals.
- § 3.1.12 Warranty. The Design-Builder warrants to the Owner that materials and equipment furnished under the Contract will be of good quality and new unless the Design-Build Documents require or permit otherwise. The Design-Builder further warrants that the Work will conform to the requirements of the Design-Build Documents and will be free from defects, except for those inherent in the quality of the Work or otherwise expressly permitted by the Design-Build Documents. Work, materials, or equipment not conforming to these requirements may be considered defective. The Design-Builder's warranty excludes remedy for damage or defect caused by abuse, alterations to the Work not executed by the Design-Builder, improper or insufficient maintenance, improper operation, or normal wear and tear and normal usage. If required by the Owner, the Design-Builder shall furnish satisfactory evidence as to the kind and quality of materials and equipment.

#### § 3.1.13 Royalties, Patents and Copyrights

- § 3.1.13.1 The Design-Builder shall pay all royalties and license fees.
- § 3.1.13.2 The Design-Builder shall defend suits or claims for infringement of copyrights and patent rights and shall hold the Owner and its separate contractors and consultants harmless from loss on account thereof, but shall not be responsible for such defense or loss when a particular design, process or product of a particular manufacturer or manufacturers is required by the Owner, or where the copyright violations are required in the Owner's Criteria.

However, if the Design-Builder has reason to believe that the design, process or product required in the Owner's Criteria is an infringement of a copyright or a patent, the Design-Builder shall be responsible for such loss unless such information is promptly furnished to the Owner. If the Owner receives notice from a patent or copyright owner of an alleged violation of a patent or copyright, attributable to the Design-Builder, the Owner shall give prompt written notice to the Design-Builder.

#### § 3.1.14 Indemnification

- § 3.1.14.1 To the fullest extent permitted by law, and more specifically, §725.06 (2) and (3), F.S., Design-Builder shall indemnify, defend and hold harmless the Owner, its employees, managers, and elected officials ("Indemnitees") from and against all liability, claims, damages, losses and expenses, including, but not limited to, reasonable attorneys' fees, expelt witness fees and other consulting fees, to the extent such claims, damages, loss or expense arise out of, relate to, or result from, the negligence, recklessness, or intentional wrongful misconduct in the performance of the services for the Project by Design-Builder, its employees, Architect, a Consultant, a subcontractor, and anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable.
- § 3.1.14.2 This indemnification provision is not intended to provide indemnification for the Owner for its own negligence, recklessness, or intentional wrongful misconduct. The Design-Builder shall promptly remedy all damage or loss to any property caused in whole or in part by the Design-Builder, its employees, Architect, a Consultant, a subcontractor, and anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable.
- § 3.1.14.3 The indemnification obligation under this Section 3.1.14 shall not be limited by a limitation on amount or type of damages, compensation, or benefits payable by or for Design-Builder, Architect, a Consultant, a Contractor, or anyone directly or indirectly employed by them, under workers' compensation acts, disability benefit acts or other employee benefit acts.

#### § 3.1.15 Contingent Assignment of Agreements

- § 3.1.15.1 Each agreement for a portion of the Work is assigned by the Design-Builder to the Owner, provided that
  - .1 assignment is effective only after termination of the Contract by the Owner for cause, pursuant to Sections 13.1.4 or 13.2.2, and only for those agreements that the Owner accepts by written notification to the Design-Builder and the Architect, Consultants, and Contractors whose agreements are accepted for assignment; and
  - .2 assignment is subject to the prior rights of the surety, if any, obligated under bond relating to the Contract.

When the Owner accepts the assignment of an agreement, the Owner assumes the Design-Builder's rights and obligations under the agreement.

- § 3.1.15.2 Upon such assignment, if the Work has been suspended for more than 30 days, the compensation under the assigned agreement shall be equitably adjusted for increases in cost resulting from the suspension.
- § 3.1.15.3 Upon such assignment to the Owner under this Section 3.1.15, the Owner may further assign the agreement to a successor design-builder or other entity. If the Owner assigns the agreement to a successor design-builder or other entity, the Owner shall nevertheless remain legally responsible for all of the successor design-builder's or other entity's obligations under the agreement.
- § 3.1.16 Design-Builder's Insurance and Bonds. The Design-Builder shall purchase and maintain insurance and provide bonds as set forth in Exhibit B.

#### ARTICLE 4 WORK PRIOR TO CONSTRUCTION PHASE

#### § 4.1 General

- § 4.1.1 Any information submitted by the Design-Builder, and any interim decisions made by the Owner, shall be for the purpose of facilitating the design process and shall not modify the Owner's Criteria unless the Owner and Design-Builder execute a Modification.
- § 4.1.2 The Design-Builder shall advise the Owner on proposed site use and improvements, selection of materials, and building systems and equipment. The Design-Builder shall also provide the Owner with recommendations,

consistent with the Owner's Criteria, on constructability; availability of materials and labor; time requirements for procurement, installation and construction; and factors related to construction cost including, but not limited to, costs of alternative designs or materials, preliminary budgets, life-cycle data, and possible cost reductions.

#### § 4.2 Evaluation of the Owner's Criteria

- § 4.2.1 The Design-Builder shall schedule and conduct meetings with the Owner and any other necessary individuals or entities to discuss and review the Owner's Criteria as set forth in Section 1.1. The Design-Builder shall thereafter again meet with the Owner to discuss a preliminary evaluation of the Owner's Criteria. The preliminary evaluation shall address possible alternative approaches to design and construction of the Project and include the Design-Builder's recommendations, if any, with regard to accelerated or fast-track scheduling, procurement, or phased construction. The preliminary evaluation shall consider cost information, constructability, and procurement and construction scheduling issues.
- § 4.2.2 After the Design-Builder meets with the Owner and presents the preliminary evaluation, the Design-Builder shall provide a written report to the Owner, summarizing the Design-Builder's evaluation of the Owner's Criteria. The report shall also include
  - .1 allocations of program functions, detailing each function and their square foot areas;
  - .2 a preliminary estimate of the Cost of the Work, and, if necessary, recommendations to adjust the Owner's Criteria to conform to the Owner's budget;
  - .3 a preliminary schedule, which shall include proposed design milestones; dates for receiving additional information from, or for work to be completed by, the Owner; anticipated date for the Design-Builder's Proposal; and dates of periodic design review sessions with the Owner; and
  - .4 the following:
    (List additional information, if any, to be included in the Design-Builder's written report.)

TBD

§ 4.2.3 The Owner shall review the Design-Builder's written report and, if acceptable, provide the Design-Builder with written consent to proceed to the development of the Preliminary Design as described in Section 4.3. The consent to proceed shall not be understood to modify the Owner's Criteria unless the Owner and Design-Builder execute a Modification.

#### § 4.3 Preliminary Design

- § 4.3.1 Upon the Owner's issuance of a written consent to proceed under Section 4.2.3, the Design-Builder shall prepare and submit a Preliminary Design to the Owner. The Preliminary Design shall include a report identifying any deviations from the Owner's Criteria, and shall include the following:
  - Confirmation of the allocations of program functions;
  - .2 Site plan;
  - .3 Building plans, sections and elevations;
  - .4 Structural system;
  - .5 Selections of major building systems, including but not limited to mechanical, electrical and plumbing systems; and
  - .6 Outline specifications or sufficient drawing notes describing construction materials.

The Preliminary Design may include some combination of physical study models, perspective sketches, or digital modeling.

§ 4.3.2 The Owner shall review the Preliminary Design and, if acceptable, provide the Design-Builder with written consent to proceed to development of the Design-Builder's Proposal. The Preliminary Design shall not modify the Owner's Criteria unless the Owner and Design-Builder execute a Modification.

#### ARTICLE 5 CONSTRUCTION PHASE

#### § 5.1 Construction Documents

- § 5.1.1 The Design-Builder shall prepare Construction Documents. The Construction Documents shall establish the quality levels of materials and systems required. The Construction Documents shall be consistent with the Design-Build Documents.
- § 5.1.2 The Design-Builder shall provide the Construction Documents to the Owner for the Owner's information. If the Owner discovers any deviations between the Construction Documents and the Design-Build Documents, the Owner shall promptly notify the Design-Builder of such deviations in writing. The Construction Documents shall not modify the Design-Build Documents unless the Owner and Design-Builder execute a Modification. The failure of the Owner to discover any such deviations shall not relieve the Design-Builder of the obligation to perform the Work in accordance with the Design-Build Documents.

#### § 5.2 Construction

- § 5.2.1 Commencement. Construction shall not commence prior to receipt of a written Notice to Proceed from the Owner.
- § 5.2.3 The Design-Builder shall supervise and direct the Work, using the Design-Builder's best skill and attention. The Design-Builder shall be solely responsible for, and have control over, construction means, methods, techniques, sequences and procedures, and for coordinating all portions of the Work under the Contract, unless the Design-Build Documents give other specific instructions concerning these matters.
- § 5.2.4 The Design-Builder shall be responsible for inspection of portions of Work already performed to determine that such portions are in proper condition to receive subsequent Work.

#### § 5.3 Labor and Materials

- § 5.3.1 Unless otherwise provided in the Design-Build Documents, the Design-Builder shall provide and pay for labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services, necessary for proper execution and completion of the Work, whether temporary or permanent, and whether or not incorporated or to be incorporated in the Work.
- § 5.3.2 When a material or system is specified in the Design-Build Documents, the Design-Builder may make substitutions only in accordance with Article 6.
- § 5.3.3 The Design-Builder shall enforce strict discipline and good order among the Design-Builder's employees and other persons carrying out the Work. The Design-Builder shall not permit employment of unfit persons or persons not properly skilled in tasks assigned to them.

The Design-Builder shall pay sales, consumer, use and similar taxes, for the Work provided by the Design-Builder, that are legally enacted when the Design-Build Amendment is executed, whether or not yet effective or merely scheduled to go into effect.

#### § 5.5 Permits, Fees, Notices and Compliance with Laws

- § 5.5.1 Unless otherwise provided in the Design-Build Documents, the Design-Builder shall secure and pay for the building permit as well as any other permits, fees, licenses, and inspections by government agencies, necessary for proper execution of the Work and Substantial Completion of the Project.
- § 5.5.2 The Design-Builder shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities, applicable to performance of the Work.
- § 5.5.3 Concealed or Unknown Conditions. If the Design-Builder encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Design-Build Documents or (2) unknown physical conditions of an unusual nature that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Design-Build Documents, the Design-Builder shall promptly provide notice to the Owner before conditions are disturbed and in no event later than 10 days after first observance of the conditions. The Owner shall promptly

investigate such conditions and, if the Owner determines that they differ materially and cause an increase or decrease in the Design-Builder's cost of, or time required for, performance of any part of the Work, shall recommend an equitable adjustment in the Contract Sum or Contract Time, or both. If the Owner determines that the conditions at the site are not materially different from those indicated in the Design-Build Documents and that no change in the terms of the Contract is justified, the Owner shall promptly notify the Design-Builder in writing, stating the reasons. If the Design-Builder disputes the Owner's determination or recommendation, the Design-Builder may proceed as provided in Article 14.

§ 5.5.4 If, in the course of the Work, the Design-Builder encounters human remains, or recognizes the existence of burial markers, archaeological sites, or wetlands, not indicated in the Design-Build Documents, the Design-Builder shall immediately suspend any operations that would affect them and shall notify the Owner. Upon receipt of such notice, the Owner shall promptly take any action necessary to obtain governmental authorization required to resume the operations. The Design-Builder shall continue to suspend such operations until otherwise instructed by the Owner but shall continue with all other operations that do not affect those remains or features. Requests for adjustments in the Contract Sum and Contract Time arising from the existence of such remains or features may be made as provided in Article 14.

#### § 5.6 Allowances

§ 5.6.1 The Design-Builder shall include in the Contract Sum all allowances stated in the Design-Build Documents. Items covered by allowances shall be supplied for such amounts, and by such persons or entities as the Owner may direct, but the Design-Builder shall not be required to employ persons or entities to whom the Design-Builder has reasonable objection.

§ 5.6.2 Unless otherwise provided in the Design-Build Documents,

- .1 allowances shall cover the cost to the Design-Builder of materials and equipment delivered at the site and all required taxes, less applicable trade discounts;
- .2 the Design-Builder's costs for unloading and handling at the site, labor, installation costs, overhead, profit, and other expenses contemplated for stated allowance amounts, shall be included in the Contract Sum but not in the allowances; and
- .3 whenever costs are more than or less than allowances, the Contract Sum shall be adjusted accordingly by Change Order. The amount of the Change Order shall reflect (1) the difference between actual costs and the allowances under Section 5.6.2.1 and (2) changes in Design-Builder's costs under Section 5.6.2.2.
- § 5.6.3 The Owner shall make selections of materials and equipment with reasonable promptness for allowances requiring Owner selection.

§ 5.7 Key Personnel, Contractors and Suppliers

- § 5.7.1 The Design-Builder shall not employ personnel, or contract with Contractors or suppliers to whom the Owner has made reasonable and timely objection. The Design-Builder shall not be required to contract with anyone to whom the Design-Builder has made reasonable and timely objection.
- § 5.7.2 If the Design-Builder changes any of the personnel, Contractors or suppliers identified in the Design-Build Amendment, the Design-Builder shall notify the Owner and provide the name and qualifications of the new personnel, Contractor or supplier. The Owner may reply within 14 days to the Design-Builder in writing, stating (1) whether the Owner has reasonable objection to the proposed personnel, Contractor or supplier or (2) that the Owner requires additional time to review. Failure of the Owner to reply within the 14-day period shall constitute notice of no reasonable objection.
- § 5.7.3 Except for those persons or entities already identified or required in the Design-Build Amendment, the Design-Builder, as soon as practicable after execution of the Design-Build Amendment, shall furnish in writing to the Owner the names of persons or entities (including those who are to furnish materials or equipment fabricated to a special design) proposed for each principal portion of the Work. The Owner may reply within 14 days to the Design-Builder in writing stating (1) whether the Owner has reasonable objection to any such proposed person or entity or (2) that the Owner requires additional time for review. Failure of the Owner to reply within the 14-day period shall constitute notice of no reasonable objection.

§ 5.7.3.1 If the Owner has reasonable objection to a person or entity proposed by the Design-Builder, the Design-Builder shall propose another to whom the Owner has no reasonable objection. If the rejected person or entity was reasonably capable of performing the Work, the Contract Sum and Contract Time shall be increased or decreased by the difference, if any, occasioned by such change, and an appropriate Change Order shall be issued before commencement of the substitute person or entity's Work. However, no increase in the Contract Sum or Contract Time shall be allowed for such change unless the Design-Builder has acted promptly and responsively in submitting names as required.

§ 5.8 Documents and Submittals at the Site

The Design-Builder shall maintain at the site for the Owner one copy of the Design-Build Documents and a current set of the Construction Documents, in good order and marked currently to indicate field changes and selections made during construction, and one copy of approved Submittals. The Design-Builder shall deliver these items to the Owner in accordance with Section 9.10.2 as a record of the Work as constructed.

§ 5.9 Use of Site

The Design-Builder shall confine operations at the site to areas permitted by applicable laws, statutes, ordinances, codes, rules and regulations, lawful orders of public authorities, and the Design-Build Documents, and shall not unreasonably encumber the site with materials or equipment.

§ 5.10 Cutting and Patching

The Design-Builder shall not cut, patch or otherwise alter fully or partially completed construction by the Owner or a separate contractor except with written consent of the Owner and of such separate contractor; such consent shall not be unreasonably withheld. The Design-Builder shall not unreasonably withhold from the Owner or a separate contractor the Design-Builder's consent to cutting or otherwise altering the Work.

§ 5.11 Cleaning Up

- § 5.11.1 The Design-Builder shall keep the premises and surrounding area free from accumulation of waste materials or rubbish caused by operations under the Contract. At completion of the Work, the Design-Builder shall remove waste materials, rubbish, the Design-Builder's tools, construction equipment, machinery and surplus materials from and about the Project.
- § 5.11.2 If the Design-Builder fails to clean up as provided in the Design-Build Documents, the Owner may do so and Owner shall be entitled to reimbursement from the Design-Builder.

§ 5.12 Access to Work

The Design-Builder shall provide the Owner and its separate contractors and consultants access to the Work in preparation and progress wherever located. The Design-Builder shall notify the Owner regarding Project safety criteria and programs, which the Owner, and its contractors and consultants, shall comply with while at the site.

§ 5.13 Construction by Owner or by Separate Contractors

§ 5.13.1 Owner's Right to Perform Construction and to Award Separate Contracts

- § 5.13.1.1 The Owner reserves the right to perform construction or operations related to the Project with the Owner's own forces; and to award separate contracts in connection with other portions of the Project, or other construction or operations on the site, under terms and conditions identical or substantially similar to this Contract, including those terms and conditions related to insurance and waiver of subrogation. The Owner shall notify the Design-Builder promptly after execution of any separate contract. If the Design-Builder claims that delay or additional cost is involved because of such action by the Owner, the Design-Builder shall make a Claim as provided in Article 14.
- § 5.13.1.2 When separate contracts are awarded for different portions of the Project or other construction or operations on the site, the term "Design-Builder" in the Design-Build Documents in each case shall mean the individual or entity that executes each separate agreement with the Owner.
- § 5.13.1.3 The Owner shall provide for coordination of the activities of the Owner's own forces, and of each separate contractor, with the Work of the Design-Builder, who shall cooperate with them. The Design-Builder shall participate with other separate contractors and the Owner in reviewing their construction schedules. The Design-Builder shall make any revisions to the construction schedule deemed necessary after a joint review and mutual agreement. The construction schedules shall then constitute the schedules to be used by the Design-Builder, separate contractors and the Owner until subsequently revised.

§ 5.13.1.4 Unless otherwise provided in the Design-Build Documents, when the Owner performs construction or operations related to the Project with the Owner's own forces or separate contractors, the Owner shall be deemed to be subject to the same obligations, and to have the same rights, that apply to the Design-Builder under the Contract.

#### § 5.14 Mutual Responsibility

- § 5.14.1 The Design-Builder shall afford the Owner and separate contractors reasonable opportunity for introduction and storage of their materials and equipment and performance of their activities, and shall connect and coordinate the Design-Builder's construction and operations with theirs as required by the Design-Build Documents.
- § 5.14.2 If part of the Design-Builder's Work depends upon construction or operations by the Owner or a separate contractor, the Design-Builder shall, prior to proceeding with that portion of the Work, prepare a written report to the Owner, identifying apparent discrepancies or defects in the construction or operations by the Owner or separate contractor that would render it unsuitable for proper execution and results of the Design-Builder's Work. Failure of the Design-Builder to report shall constitute an acknowledgment that the Owner's or separate contractor's completed or partially completed construction is fit and proper to receive the Design-Builder's Work, except as to defects not then reasonably discoverable.
- § 5.14.3 The Design-Builder shall reimburse the Owner for costs the Owner incurs that are payable to a separate contractor because of the Design-Builder's delays, improperly timed activities or defective construction. The Owner shall be responsible to the Design-Builder for costs the Design-Builder incurs because of a separate contractor's delays, improperly timed activities, damage to the Work or defective construction.
- § 5.14.4 The Design-Builder shall promptly remedy damage the Design-Builder wrongfully causes to completed or partially completed construction or to property of the Owner or separate contractors as provided in Section 10.2.5.
- § 5.14.5 The Owner and each separate contractor shall have the same responsibilities for cutting and patching the Work as the Design-Builder has with respect to the construction of the Owner or separate contractors in Section 5.10.

#### § 5.15 Owner's Right to Clean Up

If a dispute arises among the Design-Builder, separate contractors and the Owner as to the responsibility under their respective contracts for maintaining the premises and surrounding area free from waste materials and rubbish, the Owner may clean up and will allocate the cost among those responsible.

#### ARTICLE 6 CHANGES IN THE WORK

#### § 6.1 General

- § 6.1.1 Changes in the Work may be accomplished after execution of the Contract, and without invalidating the Contract, by Change Order or Change Directive, subject to the limitations stated in this Article 6 and elsewhere in the Design-Build Documents.
- § 6.1.2 A Change Order shall be based upon agreement between the Owner and Design-Builder. The Owner may issue a Change Directive without agreement by the Design-Builder.
- § 6.1.3 Changes in the Work shall be performed under applicable provisions of the Design-Build Documents, and the Design-Builder shall proceed promptly, unless otherwise provided in the Change Order or Change Directive.

#### § 6.2 Change Orders

A Change Order is a written instrument signed by the Owner and Design-Builder stating their agreement upon all of the following:

- .1 The change in the Work;
- .2 The amount of the adjustment, if any, in the Contract Sum or, if prior to execution of the Design-Build Amendment, the adjustment in the Design-Builder's compensation; and
- .3 The extent of the adjustment, if any, in the Contract Time.
- § 6.2.1 Accurate Change Order Pricing Information: Design-Builder agrees that it is responsible for submitting accurate cost and pricing data to support any Lump Sum Change and/or Cost Plus Change Order Proposals or other contract price adjustments under the contract. Design-Builder further agrees to submit Change Order proposals with

cost and pricing data, which is accurate, complete, current and in accordance with the terms of the Agreement with respect to pricing of Change Orders.

- § 6.2.2 Right to Verify Change Order Pricing Information: Design-Builder agrees that any designated Owner's representative will have the right to examine the Design-Builder's records to verify the accuracy and appropriateness of the pricing data used to price change proposals. If the Change Order Proposal has been approved by Owner and Design-Builder, Design-Builder and Owner agree that if later it is determined by Owner and Design-Builder that the cost and pricing data submitted was inaccurate, incomplete or not current, then an appropriate Contract Sum adjustment will be made; provided however, that with respect to any Owner approved Change Order(s), any adjustment(s) will be limited to Design-Builder self-performed Work, general conditions, and fee, and not to material suppliers and subcontractors who have submitted hard numbers that were included in said approved Change Order(s), it being understood that Design-Builder will at all times use its best efforts to negotiate competitive market prices.
- § 6.2.3 Requirements for Detailed Change Order Pricing Information: Design-Builder agrees to provide and require all subcontractor to provide a breakdown of allowable labor and labor burden cost information as outlined in this Article. This information will be used to evaluate the potential cost of labor and labor burden related to Change Order Work. It is intended that this information represent an accurate estimate of Design-Builder's actual labor and labor burden cost components. This information is not intended to establish fixed billing or Change Order pricing labor rates. However, at the time Change Orders are priced, the submitted cost data for labor rates may be used to price Change Order Work. The accuracy of any such agreed upon labor cost components used to price Change Orders will be subject to later audit. Approved Change Order amounts may be adjusted later to correct the impact of inaccurate labor cost components if the agreed upon labor cost components are determined to be inaccurate.

§ 6.3 Change Directives

- § 6.3.1 A Change Directive is a written order signed by the Owner directing a change in the Work prior to agreement on adjustment, if any, in the Contract Sum or, if prior to execution of the Design-Build Amendment, the adjustment in the Design-Builder's compensation, or Contract Time. The Owner may by Change Directive, without invalidating the Contract, order changes in the Work within the general scope of the Contract consisting of additions, deletions or other revisions, the Contract Sum or, if prior to execution of the Design-Build Amendment, the adjustment in the Design-Builder's compensation, and Contract Time being adjusted accordingly.
- § 6.3.2 A Change Directive shall be used in the absence of total agreement on the terms of a Change Order.
- § 6.3.3 If the Change Directive provides for an adjustment to the Contract Sum or, if prior to execution of the Design-Build Amendment, an adjustment in the Design-Builder's compensation, the adjustment shall be based on one of the following methods:
  - 1 Mutual acceptance of a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation;
  - .2 Unit prices stated in the Design-Build Documents or subsequently agreed upon;
  - .3 Cost to be determined in a manner agreed upon by the parties and a mutually acceptable fixed or percentage fee; or
  - .4 As provided in Section 6.3.7.
- § 6.3.4 If unit prices are stated in the Design-Build Documents or subsequently agreed upon, and if quantities originally contemplated are materially changed in a proposed Change Order or Change Directive so that application of such unit prices to quantities of Work proposed will cause substantial inequity to the Owner or Design-Builder, the applicable unit prices shall be equitably adjusted.
- § 6.3.5 Upon receipt of a Change Directive, the Design-Builder shall promptly proceed with the change in the Work involved and advise the Owner of the Design-Builder's agreement or disagreement with the method, if any, provided in the Change Directive for determining the proposed adjustment in the Contract Sum or, if prior to execution of the Design-Build Amendment, the adjustment in the Design-Builder's compensation, or Contract Time.
- § 6.3.6 A Change Directive signed by the Design-Builder indicates the Design-Builder's agreement therewith, including adjustment in Contract Sum or, if prior to execution of the Design-Build Amendment, the adjustment in the Design-Builder's compensation, and Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.

§ 6.3.7 If the Design-Builder does not respond promptly or disagrees with the method for adjustment in the Contract Sum or, if prior to execution of the Design-Build Amendment, the method for adjustment in the Design-Builder's compensation, the Owner shall determine the method and the adjustment on the basis of reasonable expenditures and savings of those performing the Work attributable to the change, including, in case of an increase, an amount for overhead and profit as set forth in the Agreement, or if no such amount is set forth in the Agreement, a reasonable amount. In such case, and also under Section 6.3.3.3, the Design-Builder shall keep and present, in such form as the Owner may prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Design-Build Documents, costs for the purposes of this Section 6.3.7 shall be limited to the following:

Additional costs of professional services;

.2 Costs of labor, including social security, unemployment insurance, fringe benefits required by agreement or custom, and workers' compensation insurance;

.3 Costs of materials, supplies and equipment, including cost of transportation, whether incorporated or consumed:

4 Rental costs of machinery and equipment, exclusive of hand tools, whether rented from the Design-Builder or others;

.5 Costs of premiums for all bonds and insurance, permit fees, and sales, use or similar taxes related to the Work; and

.6 Additional costs of supervision and field office personnel directly attributable to the change.

§ 6.3.8 The amount of credit to be allowed by the Design-Builder to the Owner for a deletion or change that results in a net decrease in the Contract Sum or in the Design-Builder's compensation, shall be actual net cost. When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured on the basis of net increase, if any, with respect to that change.

§ 6.3.9 Pending final determination of the total cost of a Change Directive to the Owner, the Design-Builder may request payment for Work completed under the Change Directive in Applications for Payment. The Owner will make an interim determination for purposes of certification for payment for those costs deemed to be reasonably justified. The Owner's interim determination of cost shall adjust the Contract Sum or, if prior to execution of the Design-Build Amendment, the Design-Builder's compensation, on the same basis as a Change Order, subject to the right of Design-Builder to disagree and assert a Claim in accordance with Article 14.

§ 6.3.10 When the Owner and Design-Builder agree with a determination concerning the adjustments in the Contract Sum or, if prior to execution of the Design-Build Amendment, the adjustment in the Design-Builder's compensation and Contract Time, or otherwise reach agreement upon the adjustments, such agreement shall be effective immediately and the Owner and Design-Builder shall execute a Change Order. Change Orders may be issued for all or any part of a Change Directive.

§ 6.4 The allowable markups for Change Orders or Change Directives shall be limited to 10% of the portion of Work to be performed by subcontractors, plus an additional 5% thereon for the Design-Builder; any Design-Builder self-performed Work shall be as priced above, plus 10%.

§ 6.5 In Exhibit "A" Article A.3.1.5, the stipulated sum for construction includes an Owner Controlled Contingency Reserve ("Reserve") in the amount of two hundred thousand dollars (\$200,000.00). The amounts of any Change Orders or Construction Change Directives (collectively "changes") issued by Owner during the Construction Phase shall first be credited against and paid to Design-Builder from the Reserve. Unless and until the Reserve is fully absorbed, there shall be no increase in the stipulated sum for construction changes within the Reserve. No overhead and fee shall be paid to Design-Builder for changes paid from within the Reserve; provided however, that in the event that a change within the Reserve increases the contract completion date, as provided for elsewhere herein, Design-Builder shall only then be entitled to agreed extended general conditions, and a markup thereon of four percent (4%), and the stipulated sum for construction shall be increased by that amount accordingly.

#### ARTICLE 7 OWNER'S RESPONSIBILITIES

#### § 7.1 General

§ 7.1.1 The Owner shall designate in writing a representative who shall have express authority to bind the Owner with respect to all Project matters requiring the Owner's approval or authorization. provided however, that those matters (e.g. change orders, etc.) having fiscal implications may be subject to prior approval of the City Manager and/or City Commission, as a condition precedent to both entitlement and payment to Design-Builder. The Owner's Representatives will cooperate with Design-Builder in identifying such matters, but proceeding with such matters

requiring such prior approvals is at Design-Builder's exclusive risk, without recourse, should the matter(s) later be rejected or unilaterally "approved as revised" by the City Manager and/or City Commission.

§ 7.1.2 The Owner shall render decisions in a timely manner and in accordance with the Design-Builder's schedule agreed to by the Owner. The Owner shall furnish to the Design-Builder, within 15 days after receipt of a written request, information necessary and relevant for the Design-Builder to evaluate, give notice of or enforce mechanic's lien rights. Such information shall include a correct statement of the record legal title to the property on which the Project is located, usually referred to as the site, and the Owner's interest therein.

#### § 7.2 Information and Services Required of the Owner

- § 7.2.1 The Owner shall furnish information or services required of the Owner by the Design-Build Documents with reasonable promptness.
- § 7.2.2 The Owner shall provide, to the extent under the Owner's control and if not required by the Design-Build Documents to be provided by the Design-Builder, the results and reports of prior tests, inspections or investigations conducted for the Project involving structural or mechanical systems; chemical, air and water pollution; hazardous materials; or environmental and subsurface conditions and information regarding the presence of pollutants at the Project site; provided however, that as and to the extent such documents and things exist, (a) the inadvertent failure of the Owner to provide same to Design-Builder shall not be the basis of any claim(s) by Design-Builder for additional compensation or time; and (b) if so furnished, Owner does not warrant or represent, expressly or impliedly, either the provenance or accuracy thereof, and any reliance thereon shall be at Design-Builder's own risk. Upon receipt of a written request from the Design-Builder, the Owner shall also provide surveys describing physical characteristics, legal limitations and utility locations for the site of the Project, and a legal description of the site under the Owner's control, if same exist and are within Owner's possession and control, and subject to the enjoinder in the prior sentence.
- § 7.2.3 The Owner shall promptly obtain easements, zoning variances, and legal authorizations or entitlements regarding site utilization where essential to the execution of the Project.
- § 7.2.4 The Owner shall cooperate with the Design-Builder in securing building and other permits, licenses and inspections.
- § 7.2.5 The services, information, surveys and reports required to be provided by the Owner under this Agreement, shall be furnished at the Owner's expense, and except as otherwise specifically provided in this Agreement or elsewhere in the Design-Build Documents or to the extent the Owner advises the Design-Builder to the contrary in writing, the Design-Builder shall be entitled to rely upon the accuracy and completeness thereof. In no event shall the Design-Builder be relieved of its responsibility to exercise proper precautions relating to the safe performance of the Work.
- § 7.2.6 If the Owner observes or otherwise becomes aware of a fault or defect in the Work or non-conformity with the Design-Build Documents, the Owner shall give prompt written notice thereof to the Design-Builder.
- § 7.2.8 Except as otherwise provided in the Design-Build Documents or when direct communications have been specially authorized, the Owner shall communicate through the Design-Builder with persons or entities employed or retained by the Design-Builder.
- § 7.2.9 Unless required by the Design-Build Documents to be provided by the Design-Builder, the Owner shall, upon written request from the Design-Builder, furnish the services of geotechnical engineers or other consultants for investigation of subsurface, air and water conditions when such services are reasonably necessary to properly carry out the design services furnished by the Design-Builder. In such event, the Design-Builder shall specify the services required. Such services may include, but are not limited to, test borings, test pits, determinations of soil bearing values, percolation tests, evaluations of hazardous materials, ground corrosion and resistivity tests, and necessary operations for anticipating subsoil conditions. The services of geotechnical engineer(s) or other consultants shall include preparation and submission of all appropriate reports and professional recommendations.
- § 7.2.10 The Owner shall purchase and maintain insurance as set forth in Exhibit B.

#### § 7.3 Submittals

- § 7.3.1 The Owner shall review and approve or take other appropriate action on Submittals. Review of Submittals is not conducted for the purpose of determining the accuracy and completeness of other details, such as dimensions and quantities; or for substantiating instructions for installation or performance of equipment or systems; or for determining that the Submittals are in conformance with the Design-Build Documents, all of which remain the responsibility of the Design-Builder as required by the Design-Build Documents. The Owner's action will be taken in accordance with the submittal schedule approved by the Owner or, in the absence of an approved submittal schedule, with reasonable promptness while allowing sufficient time in the Owner's judgment to permit adequate review. The Owner's review of Submittals shall not relieve the Design-Builder of the obligations under Sections 3.1.11, 3.1.12, and 5.2.3. The Owner's review shall not constitute approval of safety precautions or, unless otherwise specifically stated by the Owner, of any construction means, methods, techniques, sequences or procedures. The Owner's approval of a specific item shall not indicate approval of an assembly of which the item is a component.
- § 7.3.2 Upon review of the Submittals required by the Design-Build Documents, the Owner shall notify the Design-Builder of any non-conformance with the Design-Build Documents the Owner discovers.
- § 7.4 Visits to the site by the Owner shall not be construed to create an obligation on the part of the Owner to make on-site inspections to check the quality or quantity of the Work. The Owner shall neither have control over or charge of, nor be responsible for, the construction means, methods, techniques, sequences or procedures, or for the safety precautions and programs in connection with the Work, because these are solely the Design-Builder's rights and responsibilities under the Design-Build Documents.
- § 7.5 The Owner shall not be responsible for the Design-Builder's failure to perform the Work in accordance with the requirements of the Design-Build Documents. The Owner shall not have control over or charge of and will not be responsible for acts or omissions of the Design-Builder, Architect, Consultants, Contractors, or their agents or employees, or any other persons or entities performing portions of the Work for the Design-Builder,
- § 7.6 The Owner has the authority to reject Work that does not conform to the Design-Build Documents. The Owner shall have authority to require inspection or testing of the Work in accordance with Section 15.5.2, whether or not such Work is fabricated, installed or completed. However, neither this authority of the Owner nor a decision made in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Owner to the Design-Builder, the Architect, Consultants, Contractors, material and equipment suppliers, their agents or employees, or other persons or entities performing portions of the Work.
- § 7.7 The Owner shall determine the date or dates of Substantial Completion in accordance with Section 9.8 and the date of final completion in accordance with Section 9.10.

§ 7.8 Owner's Right to Stop Work

If the Design-Builder fails to correct Work which is not in accordance with the requirements of the Design-Build Documents as required by Section 11.2 or persistently fails to carry out Work in accordance with the Design-Build Documents, the Owner may issue a written order to the Design-Builder to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, the right of the Owner to stop the Work shall not give rise to a duty on the part of the Owner to exercise this right for the benefit of the Design-Builder or any other person or entity, except to the extent required by Section 5.13.1.3.

§ 7.9 Owner's Right to Carry Out the Work

If the Design-Builder defaults or neglects to carry out the Work in accordance with the Design-Build Documents and fails within a ten-day period after receipt of written notice from the Owner to commence and continue correction of such default or neglect with diligence and promptness, the Owner may, without prejudice to other remedies the Owner may have, correct such deficiencies. In such case, an appropriate Change Order shall be issued deducting from payments then or thereafter due the Design-Builder the reasonable cost of correcting such deficiencies. If payments then or thereafter due the Design-Builder are not sufficient to cover such amounts, the Design-Builder shall pay the difference to the Owner.

#### ARTICLE 8 TIME

#### § 8.1 Progress and Completion

- § 8.1.1 Time limits stated in the Design-Build Documents are of the essence of the Contract. By executing the Design-Build Amendment the Design-Builder confirms that the Contract Time is a reasonable period for performing the Work.
- § 8.1.2 The Design-Builder shall not, except by agreement of the Owner in writing, commence the Work prior to the effective date of insurance, other than property insurance, required by this Contract. The Contract Time shall not be adjusted as a result of the Design-Builder's failure to obtain insurance required under this Contract.
- § 8.1.3 The Design-Builder shall proceed expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.

#### § 8.2 Delays and Extensions of Time

- § 8.2.1 If the Design-Builder is delayed at any time in the commencement or progress of the Work by an act or neglect of the Owner or of a consultant or separate contractor employed by the Owner; or by changes ordered in the Work by the Owner; or by labor disputes, fire, unusual delay in deliveries, unavoidable casualties or other causes beyond the Design-Builder's control; or by delay authorized by the Owner pending mediation and binding dispute resolution or by other causes that the Owner determines may justify delay, and which demonstrably affect the Substantial Completion Date, then the Contract Time shall be extended by Change Order for such reasonable time as the Owner may determine.
- § 8.2.2 Claims relating to time shall be made in accordance with applicable provisions of Article 14.
- § 8.2.3 This Section 8.2 does not preclude recovery of damages for delay by either party under other provisions of the Design-Build Documents.

#### ARTICLE 9 PAYMENT APPLICATIONS AND PROJECT COMPLETION

#### § 9.1 Contract Sum

User Notes:

The Contract Sum is stated in the Design-Build Amendment.

#### § 9.2 Schedule of Values

Where the Contract Sum is based on a stipulated sum or Guaranteed Maximum Price, the Design-Builder, prior to the first Application for Payment after execution of the Design-Build Amendment shall submit to the Owner a schedule of values allocating the entire Contract Sum to the various portions of the Work and prepared in such form and supported by such data to substantiate its accuracy as the Owner may require. This schedule, unless objected to by the Owner, shall be used as a basis for reviewing the Design-Builder's Applications for Payment.

#### § 9.3 Applications for Payment

- § 9.3.1 At least ten days before the date established for each progress payment, the Design-Builder shall submit to the Owner an itemized Application for Payment for completed portions of the Work. The application shall be notarized, if required, and supported by data substantiating the Design-Builder's right to payment as the Owner may require, such as copies of requisitions from the Architect, Consultants, Contractors, and material suppliers, and shall reflect retainage if provided for in the Design-Build Documents.
- § 9.3.1.1 As provided in Section 6.3.9, Applications for Payment may include requests for payment on account of changes in the Work that have been properly authorized by Change Directives, or by interim determinations of the Owner, but not yet included in Change Orders.
- § 9.3.1.2 Applications for Payment shall not include requests for payment for portions of the Work for which the Design-Builder does not intend to pay the Architect, Consultant, Contractor, material supplier, or other persons or entities providing services or work for the Design-Builder, unless such Work has been performed by others whom the Design-Builder intends to pay.
- § 9.3.2 Unless otherwise provided in the Design-Build Documents, payments shall be made for services provided as well as materials and equipment delivered and suitably stored at the site for subsequent incorporation in the Work. If approved in advance by the Owner, payment may similarly be made for materials and equipment suitably stored off the site at a location agreed upon in writing. Payment for materials and equipment stored on or off the site shall be

conditioned upon compliance by the Design-Builder with procedures satisfactory to the Owner to establish the Owner's title to such materials and equipment or otherwise protect the Owner's interest, and shall include the costs of applicable insurance, storage and transportation to the site for such materials and equipment stored off the site.

§ 9.3.3 The Design-Builder warrants that title to all Work, other than Instruments of Service, covered by an Application for Payment will pass to the Owner no later than the time of payment. The Design-Builder further warrants that, upon submittal of an Application for Payment, all Work for which Certificates for Payment have been previously issued and payments received from the Owner shall, to the best of the Design-Builder's knowledge, information and belief, be free and clear of liens, claims, security interests or encumbrances in favor of the Design-Builder, Architect, Consultants, Contractors, material suppliers, or other persons or entities entitled to make a claim by reason of having provided labor, materials and equipment relating to the Work.

#### § 9.4 Certificates for Payment

The Owner shall, within seven days after receipt of the Design-Builder's Application for Payment, issue to the Design-Builder a Certificate for Payment indicating the amount the Owner determines is properly due, and notify the Design-Builder in writing of the Owner's reasons for withholding certification in whole or in part as provided in Section 9.5.1.

#### § 9.5 Decisions to Withhold Certification

§ 9.5.1 The Owner may withhold a Certificate for Payment in whole or in part to the extent reasonably necessary to protect the Owner due to the Owner's determination that the Work has not progressed to the point indicated in the Design-Builder's Application for Payment, or the quality of the Work is not in accordance with the Design-Build Documents. If the Owner is unable to certify payment in the amount of the Application, the Owner will notify the Design-Builder as provided in Section 9.4. If the Design-Builder and Owner cannot agree on a revised amount, the Owner will promptly issue a Certificate for Payment for the amount that the Owner deems to be due and owing. The Owner may also withhold a Certificate for Payment or, because of subsequently discovered evidence, may nullify the whole or a part of a Certificate for Payment previously issued to such extent as may be necessary to protect the Owner from loss for which the Design-Builder is responsible because of

- defective Work, including design and construction, not remedied:
- .2 third party claims filed or reasonable evidence indicating probable filing of such claims unless security acceptable to the Owner is provided by the Design-Builder;
- .3 failure of the Design-Builder to make payments properly to the Architect, Consultants, Contractors or others, for services, labor, materials or equipment;
- reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum;
- damage to the Owner or a separate contractor;
- reasonable evidence that the Work will not be completed within the Contract Time, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay;
- .7 repeated failure to carry out the Work in accordance with the Design-Build Documents.
- § 9.5.2 When the above reasons for withholding certification are removed, certification will be made for amounts previously withheld. The Owner shall not be deemed in default by reason of withholding payment while any of the above grounds remains uncured.
- § 9.5.3 If the Owner withholds certification for payment under Section 9.5.1.3, the Owner may, at its sole option, issue joint checks to the Design-Builder and to the Architect or any Consultants, Contractor, material or equipment suppliers, or other persons or entities providing services or work for the Design-Builder to whom the Design-Builder failed to make payment for Work properly performed or material or equipment suitably delivered.

#### § 9.6 Progress Payments

- § 9.6.1 After the Owner has issued a Certificate for Payment, the Owner shall make payment in the manner and within the time provided in the Design-Build Documents.
- § 9.6.2 The Design-Builder shall pay each Architect, Consultant, Contractor, and other person or entity providing services or work for the Design-Builder no later than the time period required by applicable law, but in no event more than seven days after receipt of payment from the Owner the amount to which the Architect, Consultant, Contractor, and other person or entity providing services or work for the Design-Builder is entitled, reflecting percentages actually retained from payments to the Design-Builder on account of the portion of the Work performed

by the Architect, Consultant, Contractor, or other person or entity. The Design-Builder shall, by appropriate agreement with each Architect, Consultant, Contractor, and other person or entity providing services or work for the Design-Builder, require each Architect, Consultant, Contractor, and other person or entity providing services or work for the Design-Builder to make payments to subconsultants and subcontractors in a similar manner.

- § 9.6.3 The Owner will, on request and if practicable, furnish to the Architect, a Consultant, Contractor, or other person or entity providing services or work for the Design-Builder, information regarding percentages of completion or amounts applied for by the Design-Builder and action taken thereon by the Owner on account of portions of the Work done by such Architect, Consultant, Contractor or other person or entity providing services or work for the Design-Builder.
- § 9.6.4 The Owner has the right to request written evidence from the Design-Builder that the Design-Builder has properly paid the Architect, Consultants, Contractors, or other person or entity providing services or work for the Design-Builder, amounts paid by the Owner to the Design-Builder for the Work. If the Design-Builder fails to furnish such evidence within seven days, the Owner shall have the right to contact the Architect, Consultants, and Contractors to ascertain whether they have been properly paid. The Owner shall have no obligation to pay or to see to the payment of money to a Consultant or Contractor, except as may otherwise be required by law.
- § 9.6.5 Design-Builder payments to material and equipment suppliers shall be treated in a manner similar to that provided in Sections 9.6.2, 9.6.3 and 9.6.4.
- § 9.6.6 A Certificate for Payment, a progress payment, or partial or entire use or occupancy of the Project by the Owner shall not constitute acceptance of Work not in accordance with the Design-Build Documents.
- § 9.6.7 Unless the Design-Builder provides the Owner with a payment bond in the full penal sum of the Contract Sum, payments received by the Design-Builder for Work properly performed by the Architect, Consultants, Contractors and other person or entity providing services or work for the Design-Builder, shall be held by the Design-Builder for the Architect and those Consultants, Contractors, or other person or entity providing services or work for the Design-Builder, for which payment was made by the Owner. Nothing contained herein shall require money to be placed in a separate account and not commingled with money of the Design-Builder, shall create any fiduciary liability or tort liability on the part of the Design-Builder for breach of trust or shall entitle any person or entity to an award of punitive damages against the Design-Builder for breach of the requirements of this provision.

#### § 9.7 Failure of Payment

If the Owner does not issue a Certificate for Payment, through no fault of the Design-Builder, within the time required by the Design-Build Documents, then the Design-Builder may, upon seven additional days' written notice to the Owner, stop the Work until payment of the amount owing has been received. The Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Design-Builder's reasonable costs of shut-down, delay and start-up, plus interest as provided for in the Design-Build Documents.

#### § 9.8 Substantial Completion

- § 9.8.1 Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Design-Build Documents so that the Owner can occupy or utilize the Work for its intended use. The date of Substantial Completion is the date certified by the Owner in accordance with this Section 9.8.
- § 9.8.2 When the Design-Builder considers that the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Design-Builder shall prepare and submit to the Owner a comprehensive list of items to be completed or corrected prior to final payment. Failure to include an item on such list does not alter the responsibility of the Design-Builder to complete all Work in accordance with the Design-Build Documents.
- § 9.8.3 Upon receipt of the Design-Builder's list, the Owner shall make an inspection to determine whether the Work or designated portion thereof is substantially complete. If the Owner's inspection discloses any item, whether or not included on the Design-Builder's list, which is not sufficiently complete in accordance with the Design-Build Documents so that the Owner can occupy or utilize the Work or designated portion thereof for its intended use, the Design-Builder shall, before issuance of the Certificate of Substantial Completion, complete or correct such item upon notification by the Owner. In such case, the Design-Builder shall then submit a request for another inspection by the Owner to determine Substantial Completion.

- § 9.8.4 Prior to issuance of the Certificate of Substantial Completion under Section 9.8.5, the Owner and Design-Builder shall discuss and then determine the parties' obligations to obtain and maintain property insurance following issuance of the Certificate of Substantial Completion.
- § 9.8.5 When the Work or designated portion thereof is substantially complete, the Design-Builder will prepare for the Owner's signature a Certificate of Substantial Completion that shall, upon the Owner's signature, establish the date of Substantial Completion; establish responsibilities of the Owner and Design-Builder for security, maintenance, heat, utilities, damage to the Work and insurance; and fix the time within which the Design-Builder shall finish all items on the list accompanying the Certificate. Warranties required by the Design-Build Documents shall commence on the date of Substantial Completion of the Work or designated portion thereof unless otherwise provided in the Certificate of Substantial Completion.
- § 9.8.6 The Certificate of Substantial Completion shall be submitted by the Design-Builder to the Owner for written acceptance of responsibilities assigned to it in the Certificate. Upon the Owner's acceptance, and consent of surety, if any, the Owner shall make payment of retainage applying to the Work or designated portion thereof. Payment shall be adjusted for Work that is incomplete or not in accordance with the requirements of the Design-Build Documents.
- § 9.8.7 Occupancy by Owner shall not be deemed to constitute a waiver of existing claims by Owner or Design-Builder.

§ 9.9 Partial Occupancy or Use

- § 9.9.1 The Owner may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Design-Builder, provided such occupancy or use is consented to, by endorsement or otherwise, by the insurer providing property insurance and authorized by public authorities having jurisdiction over the Project. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the Owner and Design-Builder have accepted in writing the responsibilities assigned to each of them for payments, retainage, if any, security, maintenance, heat, utilities, damage to the Work and insurance, and have agreed in writing concerning the period for correction of the Work and commencement of warranties required by the Design-Build Documents. When the Design-Builder considers a portion substantially complete, the Design-Builder shall prepare and submit a list to the Owner as provided under Section 9.8.2. Consent of the Design-Builder to partial occupancy or use shall not be unreasonably withheld. The stage of the progress of the Work shall be determined by written agreement between the Owner and Design-Builder.
- § 9.9.2 Immediately prior to such partial occupancy or use, the Owner and Design-Builder shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work.
- § 9.9.3 Unless otherwise agreed upou, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Design-Build Documents.

§ 9.10 Final Completion and Final Payment

- § 9.10.1 Upon receipt of the Design-Builder's written notice that the Work is ready for final inspection and acceptance and upon receipt of a final Application for Payment, the Owner will promptly make such inspection. When the Owner finds the Work acceptable under the Design-Build Documents and the Contract fully performed, the Owner will, subject to Section 9.10.2, promptly issue a final Certificate for Payment.
- § 9.10.2 Neither final payment nor any remaining retained percentage shall become due until the Design-Builder submits to the Owner (1) an affidavit that payrolls, bills for materials and equipment, and other indebtedness connected with the Work, for which the Owner or the Owner's property might be responsible or encumbered, (less amounts withheld by Owner) have been paid or otherwise satisfied, (2) a certificate evidencing that insurance required by the Design-Build Documents to remain in force after final payment is currently in effect, (3) a written statement that the Design-Builder knows of no substantial reason that the insurance will not be renewable to cover the period required by the Design-Build Documents, (4) consent of surety, if any, to final payment, (5) asconstructed record copy of the Construction Documents marked to indicate field changes and selections made during construction, (6) manufacturer's warranties, product data, and maintenance and operations manuals, and (7) if required by the Owner, other data establishing payment or satisfaction of ohligations, such as receipts, or releases and waivers of liens, claims, security interests, or encumbrances, arising out of the Contract, to the extent and in

such form as may be designated by the Owner. If an Architect, a Consultant, or a Contractor, or other person or entity providing services or work for the Design-Builder, refuses to furnish a release or waiver required by the Owner, the Design-Builder may furnish a bond satisfactory to the Owner to indemnify the Owner against such liens, claims, security interests, or encumbrances remains unsatisfied after payments are made, the Design-Builder shall refund to the Owner all money that the Owner may be compelled to pay in discharging such liens, claims, security interests, or encumbrances, including all costs and reasonable attorneys' fees.

§ 9.10.3 If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Design-Builder or by issuance of Change Orders affecting final completion, the Owner shall, upon application by the Design-Builder, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed and accepted. If the remaining balance for Work not fully completed or corrected is less than retainage stipulated in the Design-Build Documents, and if bonds have been furnished, the written consent of surety to payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the Design-Builder to the Owner prior to issuance of payment. Such payment shall be made under terms and conditions governing final payment, except that it shall not constitute a waiver of claims.

- § 9.10.4 The making of final payment shall constitute a waiver of Claims by the Owner except those arising from
  - .1 liens, Claims, security interests or encumbrances arising out of the Contract and unsettled;
  - .2 failure of the Work to comply with the requirements of the Design-Build Documents; or
  - .3 terms of special warranties required by the Design-Build Documents.
  - .4 any breaches of contract arising out of, or in connection with, the design, engineering and construction of the Work, which manifest themselves within the applicable statutes of limitation including, without limitation, latent defects;
  - .5 any other significant items that Design-Builder failed to complete due to failure of Design-Builder to comply with all Laws;
  - .6 third party claims, whether or not covered by insurance, as well as claims for indemnification and contribution.

§ 9.10.5 Acceptance of final payment by the Design-Builder shall constitute a waiver of claims by the Design-Builder except those previously made in writing and identified by the Design-Builder as unsettled at the time of final Application for Payment.

#### ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY

§ 10.1 Safety Precautions and Programs

The Design-Builder shall be responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the performance of the Contract.

#### § 10.2 Safety of Persons and Property

- § 10.2.1 The Design-Builder shall be responsible for precautions for the safety of, and reasonable protection to prevent damage, injury or loss to
  - .1 employees on the Work and other persons who may be affected thereby;
  - .2 the Work and materials and equipment to be incorporated therein, whether in storage on or off the site, under care, custody or control of the Design-Builder or the Architect, Consultants, or Contractors, or other person or entity providing services or work for the Design-Builder; and
  - .3 other property at the site or adjacent thereto, such as trees, shrubs, lawns, walks, pavements, roadways, or structures and utilities not designated for removal, relocation or replacement in the course of construction.
- § 10.2.2 The Design-Builder shall comply with, and give notices required by, applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities, bearing on safety of persons or property, or their protection from damage, injury or loss.
- § 10.2.3 The Design-Builder shall implement, erect, and maintain, as required by existing conditions and performance of the Contract, reasonable safeguards for safety and protection, including posting danger signs and other warnings against hazards, promulgating safety regulations, and notify owners and users of adjacent sites and utilities of the safeguards and protections.

- § 10.2.4 When use or storage of explosives or other hazardous materials or equipment, or unusual methods, are necessary for execution of the Work, the Design-Builder shall exercise utmost care, and carry on such activities under supervision of properly qualified personnel.
- § 10.2.5 The Design-Builder shall promptly remedy damage and loss (other than damage or loss insured under property insurance required by the Design-Build Documents) to property referred to in Sections 10.2.1.2 and 10.2.1.3, caused in whole or in part by the Design-Builder, the Architect, a Consultant, a Contractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable and for which the Design-Builder is responsible under Sections 10.2.1.2 and 10.2.1.3; except damage or loss attributable to acts or omissions of the Owner, or anyone directly or indirectly employed by the Owner, or by anyone for whose acts the Owner may be liable, and not attributable to the fault or negligence of the Design-Builder. The foregoing obligations of the Design-Builder are in addition to the Design-Builder's obligations under Section 3.1.14.
- § 10.2.6 The Design-Builder shall designate a responsible member of the Design-Builder's organization, at the site, whose duty shall be the prevention of accidents. This person shall be the Design-Builder's superintendent unless otherwise designated by the Design-Builder in writing to the Owner.
- § 10.2.7 The Design-Builder shall not permit any part of the construction or site to be loaded so as to cause damage or create an unsafe condition.
- § 10.2.8 Injury or Damage to Person or Property. If the Owner or Design-Builder suffers injury or damage to person or property because of an act or omission of the other, or of others for whose acts such party is legally responsible, written notice of the injury or damage, whether or not insured, shall be given to the other party within a reasonable time not exceeding 21 days after discovery. The notice shall provide sufficient detail to enable the other party to investigate the matter.

#### § 10.3 Hazardous Materials

- § 10.3.1 The Design-Builder is responsible for compliance with any requirements included in the Design-Build Documents regarding hazardous materials. If the Design-Builder encounters a hazardous material or substance not addressed in the Design-Build Documents and if reasonable precautions will be inadequate to prevent foreseeable bodily injury or death to persons resulting from a material or substance, including but not limited to asbestos or polychlorinated biphenyl (PCB), encountered on the site by the Design-Builder, the Design-Builder shall, upon recognizing the condition, immediately stop Work in the affected area and report the condition to the Owner in writing.
- § 10.3.2 Upon receipt of the Design-Builder's written notice, the Owner shall obtain the services of a licensed laboratory to verify the presence or absence of the material or substance reported by the Design-Builder and, in the event such material or substance is found to be present, to cause it to be rendered harmless. Unless otherwise required by the Design-Build Documents, the Owner shall furnish in writing to the Design-Builder the names and qualifications of persons or entities who are to perform tests verifying the presence or absence of such material or substance or who are to perform the task of removal or safe containment of such material or substance. The Design-Builder will promptly reply to the Owner in writing stating whether or not the Design-Builder has reasonable objection to the persons or entities proposed by the Owner. If the Design-Builder has an objection to a person or entity proposed by the Owner, the Owner shall propose another to whom the Design-Builder has no reasonable objection. When the material or substance has been rendered harmless, Work in the affected area shall resume upon written agreement of the Owner and Design-Builder. By Change Order, the Contract Time shall be extended appropriately and the Contract Sum shall be increased in the amount of the Design-Builder's reasonable additional costs of shut-down, delay and start-up.
- § 10.3.3 To the fullest extent permitted by law, and except if Design-Builder had previously been made aware of any such contamination, the Owner shall indemnify and hold harmless the Design-Builder, the Architect, Consultants, and Contractors, and employees of any of them, from and against claims, damages, losses and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work in the affected area, if in fact the material or substance presents the risk of bodily injury or death as described in Section 10.3.1 and has not been rendered harmless, provided that such claim, damage, loss or expense is attributable to bodily injury, sickness, disease or death, or to injury to, or destruction of, tangible property (other than the Work itself), except to the extent that such damage, loss or expense is due to the fault or negligence of the party seeking indemnity.

- § 10.3.4 The Owner shall not be responsible under this Section 10.3 for materials or substances the Design-Builder brings to the site unless such materials or substances are required by the Owner's Criteria. The Owner shall be responsible for materials or substances required by the Owner's Criteria, except to the extent of the Design-Builder's fault or negligence in the use and handling of such materials or substances.
- § 10.3.5 The Design-Builder shall indemnify the Owner for the cost and expense the Owner incurs (1) for remediation of a material or substance the Design-Builder brings to the site and negligently handles, or (2) where the Design-Builder fails to perform its obligations under Section 10.3.1, except to the extent that the cost and expense are due to the Owner's fault or negligence.
- § 10.3.6 If, without negligence on the part of the Design-Builder, the Design-Builder is held liable by a government agency for the cost of remediation of a hazardous material or substance solely by reason of performing Work as required by the Design-Build Documents, the Owner shall indemnify the Design-Builder for all cost and expense thereby incurred.

§ 10.4 Emergencies

In an emergency affecting safety of persons or property, the Design-Builder shall act, at the Design-Builder's discretion, to prevent threatened damage, injury or loss.

#### ARTICLE 11 UNCOVERING AND CORRECTION OF WORK

§ 11.1 Uncovering of Work

The Owner may request to examine a portion of the Work that the Design-Builder has covered to determine if the Work has been performed in accordance with the Design-Build Documents. If such Work is in accordance with the Design-Build Documents, the Owner and Design-Builder shall execute a Change Order to adjust the Contract Time and Contract Sum, as appropriate. If such Work is not in accordance with the Design-Build Documents, the costs of uncovering and correcting the Work shall be at the Design-Builder's expense and the Design-Builder shall not be entitled to a change in the Contract Time unless the condition was caused by the Owner or a separate contractor in which event the Owner shall be responsible for payment of such costs and the Contract Time will be adjusted as appropriate.

§ 11.2 Correction of Work

§ 11.2.1 Before or After Substantial Completion. The Design-Builder shall promptly correct Work to by the Owner or failing to conform to the requirements of the Design-Build Documents, whether discovered before or after Substantial Completion and whether or not fabricated, installed or completed. Costs of correcting such rejected Work, including additional testing and inspections, the cost of uncovering and replacement, and compensation for any design consultant employed by the Owner whose expenses and compensation were made necessary thereby, shall be at the Design-Builder's expense.

§ 11.2.2 After Substantial Completion

- § 11.2.2.1 In addition to the Design-Builder's obligations under Section 3.1.12, if, within one year after the date of Substantial Completion of the Work or designated portion thereof or after the date for commencement of warranties established under Section 9.9.1, or by terms of an applicable special warranty required by the Design-Build Documents, any of the Work is found not to be in accordance with the requirements of the Design-Build Documents, the Design-Builder shall correct it promptly after receipt of written notice from the Owner to do so unless the Owner has previously given the Design-Builder a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition. During the one-year period for correction of the Work, if the Owner fails to notify the Design-Builder and give the Design-Builder an opportunity to make the correction, the Owner waives the rights to require correction by the Design-Builder and to make a claim for breach of warranty. If the Design-Builder fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the Owner, the Owner may correct it in accordance with Section 7.9.
- § 11.2.2.2 The one-year period for correction of Work shall be extended with respect to portions of Work first performed after Substantial Completion by the period of time between Substantial Completion and the actual completion of that portion of the Work.
- § 11.2.2.3 The one-year period for correction of Work shall not be extended by corrective Work performed by the Design-Builder pursuant to this Section 11.2.

- § 11.2.3 The Design-Builder shall remove from the site portions of the Work that are not in accordance with the requirements of the Design-Build Documents and are neither corrected by the Design-Builder nor accepted by the Owner.
- § 11.2.4 The Design-Builder shall bear the cost of correcting destroyed or damaged construction of the Owner or separate contractors, whether completed or partially completed, caused by the Design-Builder's correction or removal of Work that is not in accordance with the requirements of the Design-Build Documents.
- § 11.2.5 Nothing contained in this Section 11.2 shall be construed to establish a period of limitation with respect to other obligations the Design-Builder has under the Design-Build Documents. Establishment of the one-year period for correction of Work as described in Section 11.2.2 relates only to the specific obligation of the Design-Builder to correct the Work, and has no relationship to the time within which the obligation to comply with the Design-Build Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Design-Builder's liability with respect to the Design-Builder's obligations other than specifically to correct the Work.

§ 11.3 Acceptance of Nonconforming Work

If the Owner prefers to accept Work that is not in accordance with the requirements of the Design-Build Documents, the Owner may do so instead of requiring its removal and correction, in which case the Contract Sum will be reduced as appropriate and equitable. Such adjustment shall be effected whether or not final payment has been made.

#### ARTICLE 12 COPYRIGHTS AND LICENSES

- § 12.1 Design-Builder hereby irrevocably assigns to Owner the exclusive ownership of the property rights, as well as copyrights, of all documents, drawings, specifications, electronic data, budgets, and information (hereinafter collectively "Documents") prepared, provided or procured by the Design-Builder, its Architect/Engineer(s), Subcontractors and consultants for this Project. Owner agrees that Architect/Engineer(s) shall not be liable for use of the submitted documents, drawings, specifications, electronic data, and information, by Owner, on future projects where the site topography; conditions, and building orientation are different.
- § 12.2 Design-Builder agrees, for itself and on behalf of its Architect/Engineer(s), consultants, Subcontractors and others who have created, prepared, or otherwise provided Documents, that the Owner shall obtain ownership of the copyright of all Documents generated in connection with, and arising out of, the subject Project Owner's acquisition of the copyrights for all Documents shall be subject to the making of payments to Design-Builder for performance of the Work as set forth elsewhere in this Agreement.
- § 12.3 Owner shall have the right to use, reproduce, and make derivative works of the Design- Build Documents for use in connection with this Project for purposes of maintenance, renovations, remodeling and related improvements.
- § 12.4 Design-Builder shall obtain from its Architect/Engineer(s), Subcontractors and consultants property rights and rights of use that correspond to the rights given by Design-Builder to Owner in this Agreement.
- § 12.5 Design-Builder shall provide Owner all Project related documents in an electronic format AutoCAD and PDF. Delivery of these documents shall be submitted to Owner monthly by the Design Builder within three (3) business days of Design Builders receipt of payment by Owner for prior months services rendered.

#### ARTICLE 13 TERMINATION OR SUSPENSION

§ 13.1 Termination or Suspension Prior to Execution of the Design-Build Amendment

§ 13.1.1 If the Owner fails to make payments to the Design-Builder for Work prior to execution of the Design-Builder Amendment in accordance with this Agreement, such failure shall be considered substantial nonperformance and cause for termination or, at the Design-Builder's option, cause for suspension of performance of services under this Agreement. If the Design-Builder elects to suspend the Work, the Design-Builder shall give seven days' written notice to the Owner before suspending the Work. In the event of a suspension of the Work, the Design-Builder shall have no liability to the Owner for delay or damage caused by the suspension of the Work. Before resuming the Work, the Design-Builder shall be paid all sums due prior to suspension and any expenses incurred in the

interruption and resumption of the Design-Builder's Work. The Design-Builder's compensation for, and time to complete, the remaining Work shall be equitably adjusted.

- § 13.1.2 If the Owner suspends the Project, the Design-Builder shall be compensated for the Work performed prior to notice of such suspension. When the Project is resumed, the Design-Builder shall be compensated for expenses incurred in the interruption and resumption of the Design-Builder's Work. The Design-Builder's compensation for, and time to complete, the remaining Work shall be equitably adjusted.
- § 13.1.3 If the Owner suspends the Project for more than 90 cumulative days for reasons other than the fault of the Design-Builder, the Design-Builder may terminate this Agreement by giving not less than seven days' written notice.
- § 13.1.4 Either party may terminate this Agreement upon not less than seven days' written notice should the other party fail substantially to perform in accordance with the terms of this Agreement through no fault of the party initiating the termination.
- § 13.1.5 The Owner may terminate this Agreement upon not less than seven days' written notice to the Design-Builder for the Owner's convenience and without cause.
- § 13.1.6 In the event of termination not the fault of the Design-Builder, the Design-Builder shall be compensated for Work performed prior to termination, together with Reimbursable Expenses then due and any other expenses directly attributable to termination for which the Design-Builder is not otherwise compensated. In no event shall the Design-Builder's compensation under this Section 13.1.6 be greater than the compensation set forth in Section 2.1.
- § 13.2 Termination or Suspension Following Execution of the Design-Build Amendment

§ 13.2.1 Termination by the Design-Builder

- § 13.2.1.1 The Design-Builder may terminate the Contract if the Work is stopped for a period of 30 consecutive days through no act or fault of the Design-Builder, the Architect, a Consultant, or a Contractor, or their agents or employees, or any other persons or entities performing portions of the Work under direct or indirect contract with the Design-Builder, for any of the following reasons:
  - Issuance of an order of a court or other public authority having jurisdiction that requires all Work to .1 be stopped;
  - .2 An act of government, such as a declaration of national emergency that requires all Work to be
  - Because the Owner has not issued a Certificate for Payment and has not notified the Design-Builder of the reason for withholding certification as provided in Section 9.5.1, or because the Owner has not made payment on a Certificate for Payment within the time stated in the Design-Build Documents; or
  - The Owner has failed to furnish to the Design-Builder promptly, upon the Design-Builder's request, reasonable evidence as required by Section 7.2.7.
- § 13.2.1.2 The Design-Builder may terminate the Contract if, through no act or fault of the Design-Builder, the Architect, a Consultant, a Contractor, or their agents or employees or any other persons or entities performing portions of the Work under direct or indirect contract with the Design-Builder, repeated suspensions, delays or interruptions of the entire Work by the Owner as described in Section 13.2.3 constitute in the aggregate more than 100 percent of the total number of days scheduled for completion, or 120 days in any 365-day period, whichever is less.
- § 13.2.1.3 If one of the reasons described in Section 13.2.1.1 or 13.2.1.2 exists, the Design-Builder may, upon seven days' written notice to the Owner, terminate the Contract and recover from the Owner payment for (i) the Work executed in accordance with the Contract Documents prior to the effective date of termination, (ii) the direct, actual and unavoidable (by exercising reasonable care) costs incurred by Design-Builder in terminating the Work, including, by way of illustration, Design-Builder's documented demobilization costs, the cost of cancelling Subcontracts and purchase orders, not assumed by Owner, the value of any appliances, facilities, machinery, tools referenced not previously paid by Owner to Design-Builder as reimbursable costs of the Work, and other such out of pocket costs incurred by Design-Builder to third parties with respect to termination of this Agreement. Owner shall not be responsible for any damages other than those expressly provided for in this Subparagraph, including, but not limited to, lost profits on remaining Work unperformed by Design-Builder. The amounts owing by Owner to Design-Builder pursuant to this Subparagraph shall be as specified in Design-Builder's Final Application for

Payment approved by Owner, provided however that rates paid to Design-Builder on account of profit and overhead incurred by Subcontractor and vendors shall not exceed the rates for profit and overhead payable to Design-Builder as provided for elsewhere in the Contract Documents. Notwithstanding the termination by Design-Builder, Design-Builder's responsibilities and liabilities arising from all Work performed up to the date of termination shall survive and be enforceable against Design-Builder as if there had not been a termination of this Agreement.

§ 13.2.1.4 If the Work is stopped for a period of 60 consecutive days through no act or fault of the Design-Builder or any other persons or entities performing portions of the Work under contract with the Design-Builder because the Owner has repeatedly failed to fulfill the Owner's obligations under the Design-Build Documents with respect to matters important to the progress of the Work, the Design-Builder may, upon seven additional days' written notice to the Owner, terminate the Contract and recover from the Owner as provided in Section 13.2-1.3.

#### § 13.2.2 Termination by the Owner For Cause

§ 13.2.2.1 The Owner may terminate the Contract if the Design-Builder

- .1 fails to submit the Proposal by the date required by this Agreement, or if no date is indicated, within a reasonable time consistent with the date of Substantial Completion;
- .2 repeatedly refuses or fails to supply an Architect, or enough properly skilled Consultants, Contractors, or workers or proper materials;
- .3 fails to make payment to the Architect, Consultants, or Contractors for services, materials or labor in accordance with their respective agreements with the Design-Builder;
- .4 repeatedly disregards applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of a public authority; or
- .5 is otherwise guilty of substantial breach of a provision of the Design-Build Documents.
- .6 If work is stopped for more than 30 consecutive days by Design-Builder by no act or fault of Owner, and no written authorization was obtained for same from Owner.
- § 13.2.2.2 When any of the above reasons exist, the Owner may without prejudice to any other rights or remedies of the Owner and after giving the Design-Builder and the Design-Builder's surety, if any, seven days' written notice, terminate employment of the Design-Builder and may, subject to any prior rights of the surety.
  - .1 Exclude the Design-Builder from the site and take possession of all materials that have been paid for and equipment;
  - .2 Accept assignment of the Architect, Consultant and Contractor agreements pursuant to Section 3.1.15; and
  - .3 Finish the Work by whatever reasonable method the Owner may deem expedient. Upon written request of the Design-Builder, the Owner shall furnish to the Design-Builder a detailed accounting of the costs incurred by the Owner in finishing the Work.
- § 13.2.2.3 When the Owner terminates the Contract for one of the reasons stated in Section 13.2.2.1, the Design-Builder shall not be entitled to receive further payment until the Work is finished.
- § 13.2.2.4 If the unpaid balance of the Contract Sum exceeds costs of finishing the Work and other damages incurred by the Owner and not expressly waived, such excess shall be paid to the Design-Builder. If such costs and damages exceed the unpaid balance, the Design-Builder shall pay the difference to the Owner. The obligation for such payments shall survive termination of the Contract.

#### § 13.2.3 Suspension by the Owner for Convenience

- § 13.2.3.1 The Owner may, without cause, order the Design-Builder in writing to suspend, delay or interrupt the Work in whole or in part for such period of time as the Owner may determine.
- § 13.2.3.2 The Contract Sum and Contract Time shall be adjusted for increases in the cost and time caused by suspension, delay or interruption as described in Section 13.2.3.1. Adjustment of the Contract Sum shall include profit. No adjustment shall be made to the extent
  - .1 that performance is, was or would have been so suspended, delayed or interrupted by another cause for which the Design-Builder is responsible; or
  - .2 that an equitable adjustment is made or denied under another provision of the Contract.

#### § 13.2.4 Termination by the Owner for Convenience

§ 13.2.4.1 The Owner may, at any time, terminate the Contract for the Owner's convenience and without cause.

- § 13.2.4.2 Upon receipt of written notice from the Owner of such termination for the Owner's convenience, the Design-Builder shall
  - cease operations as directed by the Owner in the notice; .1
  - .2 take actions necessary, or that the Owner may direct, for the protection and preservation of the Work;
  - .3 except for Work directed to be performed prior to the effective date of termination stated in the notice, terminate all existing Project agreements, including agreements with the Architect, Consultants, Contractors, and purchase orders, and enter into no further Project agreements and purchase orders.
  - immediately transfer to the Owner all materials, supplies, Work in progress, appliances, facilities, machinery, and tools acquired by the Design-Builder in connection with the performance of the Contract and Contract Documents, and take such action as may be necessary or as the Owner may direct for protection and preservation of the Work relating to the Agreement; and
  - deliver all plans, Drawings, and Specifications in Design-Builder's possession, and other necessary information to the Owner.
- § 13.2.4.3 In case of such termination for the Owner's convenience, the Design-Builder shall be entitled to receive payment for (i) the Work executed in accordance with the Contract Documents prior to the effective date of termination, (ii) the direct, actual and unavoidable (by exercising reasonable care) costs incurred by Design-Builder in terminating the Work, including, by way of illustration, Design-Builder's documented demobilization costs, the cost of cancelling Subcontracts and purchase orders, not assumed by Owner, the value of any appliances, facilities, machinery, tools not previously paid by Owner to Design-Builder as reimbursable costs of the Work, and other such out of pocket costs incurred by Design-Builder to third parties with respect to termination of this Agreement for convenience. Owner shall not be responsible for any damages other than those expressly provided in this Subparagraph, including, but not limited to, lost profits on remaining Work unperformed by Design-Builder. The amounts owing by Owner to Design-Builder pursuant to this Subparagraph shall be as specified in Design-Builder's Final Application for Payment approved by Owner, provided however that rates paid to Design-Builder on account of profit and overhead incurred by Subcontractors and vendors shall not exceed the rates for profit and overhead payable to Design-Builder as provided for elsewhere in the Contract Documents. Notwithstanding the termination for convenience, Design-Builder's responsibilities and liabilities arising from all Work performed up to the date of termination shall survive and be enforceable against Design-Builder as if there had not been a termination of this Agreement
- § 13.2.4.4 All obligations of the Design-Builder under the Agreement with respect to completed Work, including but not limited to all warranties, guarantees, and indemnities, shall apply to all Work completed or substantially completed by the Design-Builder prior to a convenience termination by the Owner. Notwithstanding the above, any convenience termination by the Owner or payments to the Design-Builder shall be without prejudice to any claims or legal remedies that the Owner may have against the Design-Builder for any cause.
- § 13.2.4.5 If the Owner terminates the Design-Builder for cause and it is later determined by a Court, or other arbiter with proper authority that the termination was not justified, then in such event, such termination for cause shall automatically be converted to a termination for convenience consistent with this Article 13.2.4 and subparts.

#### ARTICLE 14 CLAIMS AND DISPUTE RESOLUTION

- § 14.1 Claims
- § 14.1.1 Definition. A Claim is a demand or assertion by one of the parties seeking, as a matter of right, payment of money, or other relief with respect to the terms of the Contract. The term "Claim" also includes other disputes and matters in question between the Owner and Design-Builder arising out of or relating to the Contract. The responsibility to substantiate Claims shall rest with the party making the Claim.
- § 14.1.2 Time Limits on Claims. The Owner and Design-Builder shall commence all claims and causes of action, whether in contract, tort, breach of warranty or otherwise, against the other, arising out of or related to the Contract in accordance with the requirements of the binding dispute resolution method selected in Section 1.3, within the time period specified by Florida law.

#### § 14.1.3 Notice of Claims

- § 14.1.3.1 Prior To Final Payment. Prior to Final Payment, Claims by either the Owner or Design-Builder must be initiated by written notice to the other party within 21 days after occurrence of the event giving rise to such Claim or within 21 days after the claimant first recognizes the condition giving rise to the Claim, whichever is later.
- § 14.1.3.2 Claims Arising After Final Payment. After Final Payment, Claims by either the Owner or Design-Builder that have not otherwise been waived pursuant to Sections 9.10.4 or 9.10.5, must be initiated by prompt written notice to the other party. The notice requirement in Section 14.1.3.1 and the Initial Decision requirement as a condition precedent to mediation in Section 14.2.1 shall not apply.
- § 14.1.4 Continuing Contract Performance. Pending final resolution of a Claim, except as otherwise agreed in writing or as provided in Section 9.7 and Article 13, the Design-Builder shall proceed diligently with performance of the Contract and the Owner shall continue to make payments in accordance with the Design-Build Documents.
- § 14.1.5 Claims for Additional Cost. If the Design-Builder intends to make a Claim for an increase in the Contract Sum, written notice as provided herein shall be given before proceeding to execute the portion of the Work that relates to the Claim. Prior notice is not required for Claims relating to an emergency endangering life or property arising under Section 10.4.

#### § 14.1.6 Claims for Additional Time

User Notes:

- § 14.1.6.1 If the Design-Builder intends to make a Claim for an increase in the Contract Time, written notice as provided herein shall be given, along with all supporting documentation as a condition precedent to asserting a Claim. The Design-Builder's Claim shall include an estimate of cost and of probable effect of delay on progress of the Work. In the case of a continuing delay, only one Claim is necessary. Except as provided for in 14.1.6.3 below, Design-Builder shall not otherwise be entitled to a claim for additional time due to weather delays unless such delay is a result of precipitation (rain or excessive wind) exceeding the NOAA average for the period in question for the geographic area of the Project and such delay directly and materially impacts the critical path of the Project.
- § 14.1.6.2 Design-Builder's sole remedy for any (i) delay in the commencement, prosecution, or completion of the Work, (ii) hindrance or obstruction in performance of the Work, (iii) loss or productivity, or (iv) other similar claims (collectively referred to in this subparagraph as "delays"), whether or not such delays are foreseeable, shall be a noncompensable extension of the Contract Time in which to complete the Work. Design-Builder may only recover actual direct costs for delays arising solely from active interference by the Owner as set forth, in §14.1.6.3 and .4 below, unless otherwise provided for in this Agreement. The Owner shall grant Design-Builder extensions of time for critical path delays that cause Design-Builder to be delayed in completing the Work and have not been caused, in whole or in part, by the Design- Builder. The parties agree that extensions to the Contract Time and/or increases in Design-Builder's General Conditions shall only be granted where the delay affects the critical path of the Work for the Project. The Contract Time shall be extended only to the extent that that the effect of the events on the critical path cannot be eliminated or reduced by Design-Builder's re- sequencing of Work or other measures that do not increase the Cost of the Work. Design-Builder shall use reasonable efforts to remove, relieve and /or minimize the effect of any delay, whether caused by any event of Force Majeure or other causes. Design-Builder shall compare the critical path of the Project Schedule to the actual critical path of the Work, and identify the specific impact of the event causing the delay. Design-Builder shall submit to Owner a written time impact analysis illustrating the influence of the event causing the critical path delay to the date of Substantial Completion as supported by the Project Schedule as required by this Contract. This time impact analysis shall include a fragmentary network (network analysis) demonstrating how the Design-Builder proposes to incorporate the delay into the Project Schedule. The time impact analysis shall demonstrate the time impact based on the date of the delay in time. An extension of time to complete the Work shall be determined by the Owner provided that the Design- Builder provides the Owner with notice, in writing, of the cause of said act, hindrance, or delay within ten (10) days after commencement of the delay. All extensions of Contract Time shall be authorized only by written Change Order executed by the Owner and Design-Builder, Adjustments to the Contract Time shall not be approved unless formally submitted, lin writing, for approval with appropriate supporting documentation including, but not limited to the Design- Builder's Daily Log applicable for the period of time relevant to the delay. Design-Builder shall also furnish with this Contract Time extension request as well as any request for actual direct costs for delays arising from active interference any and all documentation including, but not limited to all Project Schedule(s) and updated Project Schedule(s) in the form as

required by the Contract Documents as well as the data and the time impact analysis referenced above.

§ 14.1.6.3 The furnishing of a written notice by Design-Builder shall not be construed or interpreted to establish the validity of the cause of the anticipated delay or of the extension of Contract Time for completion of the Work. Design-Builder shall only be entitled to compensation for actual direct costs for critical path delays should Design-Builder demonstrate a critical path delay was caused by the active interference of the Owner, or its agents Consultants, representatives or separate contractors. Unless otherwise provided for elsewhere in this Agreement, in no event shall Design-Builder be entitled to any other compensation or recovery of any damages in connection with any delay, including, without limitation, consequential damages, lost opportunity costs, impact damages or other similar remuneration.

§ 14.1.6.4 The actual direct costs for purposes of this section are limited to the actual direct costs for performing the Work as opposed to indirect costs and expenses including, but not limited to loss of use, loss of profits, consequential damages, repair costs, cost of capital, replacement, loss of wages, pain and suffering, loss of production costs to replace the facilities, loss of productivity, equipment and/or product loss, financing charges, increased or extended home office overhead, loss of interest on retainage, loss of interest on anticipated income, increased as well as extended general conditions, loss of bonding capacity, loss of use, decrease in value, interest on debt financing, idled equipment, mobilization expenses, demobilization expenses, direct, indirect costs, administrative costs and/or any other item of damage, expenses or loss. The Design-Builder recognizes and specifically acknowledges the terms and conditions of these § 14.1.6, et.seq. upon execution of this Agreement. Design-Builder acknowledges that recovery of its direct actual costs shall be Design-Builder's sole and exclusive remedy for active interference delays to Substantial Completion or to the Project as caused by the Owner, its Consultants, agents, employees, and separate contractors.

- § 14.1.7 LIMITED WAIVER OF CONSEQUENTIAL DAMAGES. Design-Builder and Owner waive certain Claims against each other for consequential damages arising out of or relating to this Agreement but only to the extent as specifically set forth in this paragraph. The Owner and Design-Builder agree to limit their right to recover for those specified consequential damages being waived against the other party to those consequential damages available under any insurance policy procured by Owner applicable to the Work or applicable to the parties obligations including the Design-Builder's obligation to provide insurance under the Contract Documents. This waiver shall not be construed to limit rights of recovery for those waived consequential damages otherwise available under any Worker's Compensation, General Liability, Excess Liability Insurance, Builder's Risk insurance, and Professional Liability policies, each party agreeing to waive its rights of subrogation against the other for such consequential damages covered by insurance. This mutual waiver includes
  - damages incurred by Owner for excess operating and staff costs, lost rental income, lost profits, increased interest and financing costs, lost business opportunities, lost professional reputation, loss of management or employee productivity or the services of such persons, and business interruption; and
  - damages incurred by Design-Builder for principal office expenses, lost business opportunities, lost profit from those business opportunities, losses of financing, lost bonding capacity, lost professional reputation, lost productivity, and lost home-office personnel, expenses, and associated overhead.

This Limited Waiver of Consequential Damages contained in this Paragraph 14.1.7 shall not be construed to limit or preclude an award of Liquidated Damages as provided in this Agreement.

#### § 14.2 Initial Decision

§ 14.2.1 An initial decision shall be required as a condition precedent to mediation of all Claims between the Owner and Design-Builder initiated prior to the date final payment is due, excluding those arising under Sections 10.3 and 10.4 of the Agreement and Sections B.3.2.9 and B.3.2.10 of Exhibit B to this Agreement, unless 30 days have passed after the Claim has been initiated with no decision having been rendered. Unless otherwise mutually agreed in writing, the Owner shall render the initial decision on Claims.

#### § 14.2.2 Procedure

- § 14.2.2.1 Claims Initiated by the Owner. If the Owner initiates a Claim, the Design-Builder shall provide a written response to Owner within ten days after receipt of the notice required under Section 14.1.3.1. Thereafter, the Owner shall render an initial decision within ten days of receiving the Design-Builder's response: (1) withdrawing the Claim in whole or in part, (2) approving the Claim in whole or in part, or (3) suggesting a compromise.
- § 14.2.2.2 Claims Initiated by the Design-Builder. If the Design-Builder initiates a Claim, the Owner will take one or more of the following actions within ten days after receipt of the notice required under Section 14.1.3.1: (1) request additional supporting data, (2) render an initial decision rejecting the Claim in whole or in part, (3) render an initial decision approving the Claim, (4) suggest a compromise or (5) indicate that it is unable to render an initial decision because the Owner lacks sufficient information to evaluate the merits of the Claim.
- § 14.2.3 In evaluating Claims, the Owner may, but shall not be obligated to, consult with or seek information from persons with special knowledge or expertise who may assist the Owner in rendering a decision. The retention of such persons shall be at the Owner's expense.
- § 14.2.4 If the Owner requests the Design-Builder to provide a response to a Claim or to furnish additional supporting data, the Design-Builder shall respond, within ten days after receipt of such request, and shall either (1) provide a response on the requested supporting data, (2) advise the Owner when the response or supporting data will be furnished or (3) advise the Owner that no supporting data will be furnished. Upon receipt of the response or supporting data, if any, the Owner will either reject or approve the Claim in whole or in part.
- § 14.2.5 The Owner's initial decision shall (1) be in writing; (2) state the reasons therefor; and (3) identify any change in the Contract Sum or Contract Time or both. The initial decision shall be final and binding on the parties but subject to mediation and, if the parties fail to resolve their dispute through mediation, to binding dispute resolution.
- § 14.2.6 Either party may file for mediation of an initial decision at any time, subject to the terms of Section 14.2.6.1.
- § 14.2.6.1 Either party may, within 30 days from the date of an initial decision, demand in writing that the other party file for mediation within 60 days of the initial decision. If such a demand is made and the party receiving the demand fails to file for mediation within the time required, then both parties waive their rights to mediate or pursue binding dispute resolution proceedings with respect to the initial decision.
- § 14.2.7 In the event of a Claim against the Design-Builder, the Owner may, but is not obligated to, notify the surety, if any, of the nature and amount of the Claim. If the Claim relates to a possibility of a Design-Builder's default, the Owner may, but is not obligated to, notify the surety and request the surety's assistance in resolving the controversy.
- § 14.2.8 If a Claim relates to or is the subject of a mechanic's lien, the party asserting such Claim may proceed in accordance with applicable law to comply with the lien notice or filing deadlines.

#### § 14.3 Mediation

- § 14.3.1 Claims, disputes, or other matters in controversy arising out of or related to the Contract, except those waived as provided for in Sections 9.10.4, 9.10.5, and 14.1.7, shall be subject to mediation as a condition precedent to binding dispute resolution.
- § 14.3.2 The parties shall endeavor to resolve their Claims by mediation which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of the Agreement. A request for mediation shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the mediation. The request may be made concurrently with the filing of binding dispute resolution proceedings but, in such event, mediation shall proceed in advance of binding dispute resolution proceedings, which shall be stayed pending mediation for a period of 60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order. If an arbitration proceeding is stayed pursuant to this Section 14.3.2, the parties may nonetheless proceed to the selection of the arbitrator(s) and agree upon a schedule for later proceedings.

§ 14.3.3 The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction.

#### ARTICLE 15 MISCELLANEOUS PROVISIONS

§ 15.1 Governing Law

The Contract shall be governed by the law of the State of Florida, and venue for any litigation in connection with the Contract Documents shall be in the State courts having jurisdiction. In any such litigation, the prevailing party shall be entitled to recover from the other party said prevailing party's reasonable attorneys' fees. Court costs, consultants' and paralegal fees.

§ 15.1.2 This Agreement replaces any and all prior agreements or understandings between the Parties hereto (whether wlitten or oral) and cannot be modified except in a written document signed by Owner and Design-Builder. This Agreement shall not be interpreted or construed to create any rights to others as third party beneficiaries with respect to the obligations set forth in this Agreement.

§ 15.1.3 This Agreement is the joint product of the Parties and shall not be interpreted or construed more strictly against any party.

§15.1.4 Design-Builder shall cause the terms and conditions of the Contract to flow down to and bind all Subcontractors, whether specifically required or not by any provision of the Contract Documents.

§ 15.1.5 Design-Builder's stipulated sum for construction includes any and all future increases in the costs of labor, material, equipment and services that may occur after execution of this Agreement and throughout the course of construction. Design-Builder represents, and hereby affirms, that any such increases which may occur, whether market driven, by tariffs, or otherwise, have been addressed by Design-Builder and are subsumed in the stipulated sum for construction. Accordingly, no change orders, equitable adjustments, or other monetary relief shall be afforded Design-Builder for such increases, if any, it being understood and agreed that the stipulated sum shall only be subject to adjustment for scope changes as elsewhere addressed herein.

§ 15.1.6 This Agreement does not create any third party beneficiary status between the Owner and any other person and/or entity.

§15.1.7 Governmental and Funding Contingencies: Owner's obligations with respect to any of the Work shall be contingent upon, and subject to Owner securing the appropriation of the funds necessary to complete the project. a) Owner's closing of agreements with applicable governmental entities and under terms acceptable to Owner; and, b) sale of Certificates of Participation directed to the Owner's Parking Enterprise Fund in an amount coextensive with the Contract Sum set forth in Exhibit "A." In the event Owner does not obtain any such approvals, or acceptable funding, Owner may cancel this Contract effective upon delivery of written notice to Design-Builder. In the event the Design-Builder has, with Owner's written approval, performed any Work, Design-Builder shall be entitled to recover reasonable compensation for proven and documented Work, but without any anticipated profit or overhead for Work not performed.

§15.1.8 In the event of any litigation arising out of or in connection with the Contract Documents, THE PARTIES HERETO EACH HEREBY KNOWINGLY, VOLUNTARILY AND INTENTIONALLY WAIVE THE RIGHT EACH MAY HAVE TO A TRIAL BY JURY WITH RESPECT TO ANY LITIGATION BASED HEREON, OR ARISING OUT OF, UNDER OR IN CONNECTION WITH THE CONTRACT DOCUMENTS, OR ANY COURSE OF CONDUCT, COURSE OF DEALING, STATEMENTS (WHETHER ORAL OR WRITTEN) OR ACTIONS OF EITHER PARTY TO THE CONTRACT DOCUMENTS.

§15.1.9 Owner hereby expressly opts-out of all provisions set forth in Chapter 558, F.S.

§15.1.10 Should any provision of this Design-Build Agreement, or the application of such provision to any person or circumstance beheld invalid by a Court of competent jurisdiction, the remainder of this Agreement, or the application of such provision to persons or circumstances other than those as to which it is held invalid, shall not be affected thereby.

§ 15.2 Successors and Assigns

- § 15.2.1 The Owner and Design-Builder, respectively, bind themselves, their partners, successors, assigns and legal representatives to the covenants, agreements and obligations contained in the Design-Build Documents. Except as provided in Section 15.2.2, neither party to the Contract shall assign the Contract as a whole without written consent of the other. If either party attempts to make such an assignment without such consent, that party shall nevertheless remain legally responsible for all obligations under the Contract.
- § 15.2.2 The Owner may, without consent of the Design-Builder, assign the Contract to a lender providing construction financing for the Project, if the lender assumes the Owner's rights and obligations under the Design-Build Documents. The Design-Builder shall execute all consents reasonably required to facilitate such assignment.
- § 15.2.3 If the Owner requests the Design-Builder, Architect, Consultants, or Contractors to execute certificates, other than those required by Section 3.1.10, the Owner shall submit the proposed language of such certificates for review at least 14 days prior to the requested dates of execution. If the Owner requests the Design-Builder, Architect, Consultants, or Contractors to execute consents reasonably required to facilitate assignment to a lender, the Design-Builder, Architect, Consultants, or Contractors shall execute all such consents that are consistent with this Agreement, provided the proposed consent is submitted to them for review at least 14 days prior to execution. The Design-Builder, Architect, Consultants, and Contractors shall not be required to execute certificates or consents that would require knowledge, services or responsibilities beyond the scope of their services.

#### § 15.3 Written Notice

Written notice shall be deemed to have been duly served if delivered in person to the individual, to a member of the firm or entity, or to an officer of the corporation for which it was intended; or if delivered at, or sent by registered or certified mail or by courier service providing proof of delivery to, the last business address known to the party giving notice.

§ 15.4 Rights and Remedies

- § 15.4.1 Duties and obligations imposed by the Design-Build Documents, and rights and remedies available thereunder, shall be in addition to and not a limitation of duties, obligations, rights and remedies otherwise imposed or available by law.
- § 15.4.2 No action or failure to act by the Owner or Design-Builder shall constitute a waiver of a right or duty afforded them under the Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach thereunder, except as may be specifically agreed in writing.

§ 15.5 Tests and Inspections

- § 15.5.1 Tests, inspections and approvals of portions of the Work shall be made as required by the Design-Build Documents and by applicable laws, statutes, ordinances, codes, rules and regulations or lawful orders of public authorities. Unless otherwise provided, the Design-Builder shall make arrangements for such tests, inspections and approvals with an independent testing laboratory or entity acceptable to the Owner, or with the appropriate public authority, and shall bear all related costs of tests, inspections and approvals. The Design-Builder shall give the Owner timely notice of when and where tests and inspections are to be made so that the Owner may be present for such procedures. The Owner shall bear costs of (1) tests, inspections or approvals that do not become requirements until after bids are received or negotiations concluded, and (2) tests, inspections or approvals where building codes or applicable laws or regulations prohibit the Owner from delegating their cost to the Design-Builder
- § 15.5.2 If the Owner determines that portions of the Work require additional testing, inspection or approval not included under Section 15.5.1, the Owner will instruct the Design-Builder to make arrangements for such additional testing, inspection or approval by an entity acceptable to the Owner, and the Design-Builder shall give timely notice to the Owner of when and where tests and inspections are to be made so that the Owner may be present for such procedures. Such costs, except as provided in Section 15.5.3, shall be at the Owner's expense.

- § 15.5.3 If such procedures for testing, inspection or approval under Sections 15.5.1 and 15.5.2 reveal failure of the portions of the Work to comply with requirements established by the Design-Build Documents, all costs made necessary by such failure shall be at the Design-Builder's expense.
- § 15.5.4 Required certificates of testing, inspection or approval shall, unless otherwise required by the Design-Build Documents, be secured by the Design-Builder and promptly delivered to the Owner.
- § 15.5.5 If the Owner is to observe tests, inspections or approvals required by the Design-Build Documents, the Owner will do so promptly and, where practicable, at the normal place of testing.
- § 15.5.6 Tests or inspections conducted pursuant to the Design-Build Documents shall be made promptly to avoid unreasonable delay in the Work.
- § 15.6 Confidential Information See Section 15.9 below.

§ 15.7 Capitalization

Terms capitalized in the Contract include those that are (1) specifically defined, (2) the titles of numbered articles or (3) the titles of other documents published by the American Institute of Architects.

§ 15.8 Interpretation

- § 15.8.1 In the interest of brevity the Design-Build Documents frequently omit modifying words such as "all" and "any" and articles such as "the" and "an," but the fact that a modifier or an article is absent from one statement and appears in another is not intended to affect the interpretation of either statement.
- § 15.8.2 Unless otherwise stated in the Design-Build Documents, words which have well-known technical or construction industry meanings are used in the Design-Build Documents in accordance with such recognized meanings.

## § 15.9 Public Records and Confidential Information

Upon receipt of any confidential information by Owner, said information becomes "a public record" and shall be subject to public disclosure consistent with Chapter 119, Florida Statutes. If Design-Builder wishes to claim an exemption to disclosure, they shall provide the specific statutory authority for the claimed exemption, identifying the data or other materials to be protected and stating the reasons why such exclusion from public disclosure is necessary. However, if a request is made of the Owner, pursuant to chapter 119, Florida Statute, for public disclosure of proprietary property of Design-Builder, the Owner shall advise Design-Builder or such request and it shall be Owner's sole burden and responsibility to immediately seek and obtain such injunctive or other relief from the courts and to immediately serve notice of the same upon the party requesting the public records. The City shall at all times, comply with the public records disclosure requirement of Chapter 119 Florida Statutes and shall not be subject to any liability for its compliance with Florida Statute Chapter 119.

In addition this Agreement involves the design and construction of a public building and any building plans, blueprints, schematic drawings, and including draft, preliminary and final formats which depict the internal and structural elements may be exempt from disclosure pursuant to Section 119.071 (3) (b) Florida Statutes.

#### Public Records:

- The City of Pompano Beach is a public agency subject to Chapter 119, Florida Statutes. The Contractor shall comply with Florida's Public Records Law, as amended. Specifically, the Contractor shall:
  - 1. Keep and maintain public records required by the City in order to perform the service.
  - Upon request from the City's custodian of public records, provide the City with a copy of requested records or allow the records to be inspected or copied within a reasonable time at a cost that does not exceed the cost provided in Chapter 119, Florida Statutes or as otherwise provided by

- Ensure that public records that are exempt or confidential and exempt from public records disclosure requirements are not disclosed except as authorized by law for the duration of the contract term and following completion of the contract if the Contractor does not transfer the records to the City.
- 4. Upon completion of the contract, transfer, at no cost to the City, all public records in possession of the Contractor, or keep and maintain public records required by the City to perform the service. If the Contractor transfers all public records to the City upon completion of the contract. the Contractor shall destroy any duplicate public records that are exempt or confidential and exempt from public records disclosure requirements. If the Contractor keeps and maintains public records upon completion of the contract, the Contractor shall meet all applicable requirements for retaining public records. All records stored electronically must be provided to the City, upon request from the City's custodian of public records in a format that is compatible with the information technology systems of the City.
- Failure of the Contractor to provide the above described public records to the City within a B. reasonable time may subject Contractor to penalties under 119.10, Florida Statutes, as amended.

## PUBLIC RECORDS CUSTODIAN

IF THE CONTRACTOR HAS QUESTIONS REGARDING THE APPLICATION OF CHAPTER 119, FLORIDA STATUTES, TO THE CONTRACTOR'S DUTY TO PROVIDE PUBLIC RECORDS RELATING TO THIS CONTRACT, CONTACT THE CUSTODIAN OF PUBLIC RECORDS AT:

# **CITY CLERK** 100 W. Atlantic Blvd., Suite 253 Pompano Beach, Florida 33060 (954) 786-4611 RecordsCustodian@copbfl.com

#### ARTICLE 16 SCOPE OF THE AGREEMENT

§ 16.1 This Agreement is comprised of the following documents listed below:

- AIA Document A141TM\_2014, Standard Form of Agreement Between Owner and Design-Builder
- .2 AIA Document A141<sup>TM</sup>\_2014, Exhibit A, Design-Build Amendment, if executed
- .3 AIA Document A141TM\_2014, Exhibit B, Insurance and Bonds
- AIA Document A141<sup>TM</sup>—2014, Exhibit C, Sustainable Projects, if completed
- .6 Other:

N/A

This Agreement entered into as of the day and year first written above.



AIA Document A141<sup>M</sup> - 2014. Copyright © 2004 and 2014 by The American Institute of Architects. All rights reserved. WARNING: This AIA® Document is protected by U.S. Copyright Law and International Treaties. Unauthorized reproduction or distribution of this AIA® Document, or any portion of it, may result in severe civil and criminal penalties, and will be prosecuted to the maximum extent possible under the law. This draft was produced by AIA software at 14:43:00 ET on 02/20/2019 under Order No.6894257823 which expires on 02/07/2020, and is not for resale.

User Notes:

## "OWNER"

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be executed the day and year hereinabove written.

Witnesses:	CITY OF POMPANO BEACH
	By:REX HARDIN, MAYOR
	By:GREGORY P. HARRISON, CITY MANAGER
Attest:	
ASCELETA HAMMOND, CITY CLERK	(SEAL)
APPROVED AS TO FORM:	
MARK E. BERMAN, CITY ATTORNEY	
STATE OF FLORIDA COUNTY OF BROWARD	
The foregoing instrument was acknown 20 by REX HARDIN as Mayor, GREGOR as City Clerk of the City of Pompano Beach, Flowho is personally known to me.	vledged before me this day of, Y P. HARRISON as City Manager, and ASCELETA HAMMOND orida, a municipal corporation, on behalf of the municipal corporation,
NOTARY'S SEAL:	NOTARY PUBLIC, STATE OF FLORIDA
	(Name of Acknowledger Typed, Printed or Stamped)
	Commission Number

# "DESIGN BUILDER"

Witnesses:  ANCHAEL E STEARAS  (Print or Type Name)	By:  John DiPompeo Jr., Director
Piane J. Salafia (Print or Type Name)	
STATE OF FLORIDA COUNTY OF BROWARD	
, 2019, by Joh	as acknowledged before me this day of an DiPompeo Jr. as Director of DiPompeo Construction alf of the corporation. He is personally known to me or
NOTARY'S SEAL:	NOTARY PUBLIC, STATE OF FLORIDA  Deva Puletto  Olama of Adams Indahan Tond British as Standard
DENA AULETTO MY COMMISSION # GG 156603 EXPIRES: February 25, 2022 Bonded Thru Notary Public Underwriters	(Name of Acknowledger Typed, Printed or Stamped)  Commission Number

# DRAFT AIA Document A141 - 2014 Exhibit A

## Design-Build Amendment

R4 (04-25-1019)

This Amendment is incorporated into the accompanying AIA Document A141<sup>TM</sup>—2014, Standard Form of Agreement Between Owner and Design-Builder dated the « » day » in the year (2019 » (the "Agreement") (In words, indicate day, month and year.)

### for the following PROJECT:

(Name and location or address)

Pompano Beach 3 Bay Fire Station 114Site Address SW 36 Avenue Pompano Beach, FL 33069

#### THE OWNER:

(Name, legal status and address)

The City of Pompano Beach, FL a political subdivision of the State of Florida 100 West Atlantic Boulevard Pompano Beach, Florida 33060 Attention: Gregory P. Harrison, City Manager

#### THE DESIGN-BUILDER:

(Name, legal status and address)

DiPompeo Construction Corporation 2301 NW 33rd Court 102 Pompano Beach, FL 33069 Attn.: John DiPompeo Jr.

The Owner and Design-Builder hereby amend the Agreement as follows.

## TABLE OF ARTICLES

A.1 **CONTRACT SUM** 

A.2 **CONTRACT TIME** 

A.3 INFORMATION UPON WHICH AMENDMENT IS BASED

A.4 DESIGN-BUILDER'S PERSONNEL, CONTRACTORS AND SUPPLIERS

A.5 **COST OF THE WORK** 

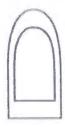
#### ARTICLE A.1 CONTRACT SUM

§ A.1.1 The Owner shall pay the Design-Builder the Contract Sum in current funds for the Design-Builder's performance of the Contract. The Contract Sum shall be one of the following and shall not include the lump sum compensation of three hundred and ninety thousand dollars (\$390,000.00) that the Owner will pay periodically to the Design-

ADDITIONS AND DELETIONS: The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Consultation with an attorney is also encouraged with respect to professional licensing requirements in the jurisdiction where the Project is located.



ELECTRONIC COPYING of any portion of this AIA® Document to another electronic file is prohibited and constitutes a violation of copyright laws as set forth in the footer of this document.

AIA Document A141<sup>M</sup> - 2014 Exhibit A. Copyright © 2004 and 2014 by The American Institute of Architects. All rights reserved. WARNING: This AIA<sup>8</sup> Document is protected by U.S. Copyright Law and International Treaties. Unauthorized reproduction or distribution of this AIA<sup>8</sup> Document, or any portion of it, may result in severe civil and criminal penalties, and will be prosecuted to the maximum extent possible under the law. This draft was produced by AIA software at 14:45:21 ET on 02/20/2019 under Order No.6894257823 which expires on 02/07/2020, and is not for resale. User Notes: (911880794)

1

Builder for Work that is satisfactorily performed for p	roject design, engineering, and	pre-construction fees and costs.
(Check the appropriate box.)		
[ « X » ] Stipulated Sum, in accordance with	Section A.1.2 below	
[ « » ] Cost of the Work plus the Design-B	uilder's Fee, in accordance wi	th Section A.1.3 below
[ «» ] Cost of the Work plus the Design-B with Section A.1.4 below	uilder's Fee with a Guaranteed	l Maximum Price, in accordance
Based on the selection above, complete Section A.1.2	?, A.1.3 or A.1.4 below.)	
§ A.1.2 Stipulated Sum § A.1.2.1 The Stipulated Sum shall be «five million th subject to authorized adjustments as provided in the D Build fee referenced above in A.1.1.		
§ A.1.2.2 The Stipulated Sum is based upon the follow Documents and are hereby accepted by the Owner: New State the numbers or other identification of accepted subsequent to the execution of this Amendment, attack Stipulated Sum for each and the deadline by which the	NA alternates. If the Owner is pen h a schedule of such other alte	mitted to accept other alternates
« »		
§ A.1.2.3 Unit prices, if any: N/A (Identify item, state the unit price, and state any apple	icable quantity limitations.)	
Item	Units and Limitations	Price per Unit (\$0.00)
.)		
Item	Units and Limitations	Price per Unit (\$0.00)
P		77777
« »		- $UW/$
§ A.1.5 Payments § A.1.5.1 Progress Payments § A.1.5.1.1 Based upon Applications for Payment sub- progress payments on account of the Contract Sum to		
§ A.1.5 Payments § A.1.5.1 Progress Payments § A.1.5.1.1 Based upon Applications for Payment subr progress payments on account of the Contract Sum to Design-Build Documents. § A.1.5.1.2 The period covered by each Application fo	the Design-Builder as provided	below and elsewhere in the
§ A.1.5 Payments § A.1.5.1 Progress Payments § A.1.5.1.1 Based upon Applications for Payment subr progress payments on account of the Contract Sum to Design-Build Documents.  § A.1.5.1.2 The period covered by each Application fo the month, or as follows:  « »	the Design-Builder as provided	below and elsewhere in the

AIA Document A141<sup>20</sup> - 2014 Exhibit A. Copyright © 2004 and 2014 by The American Institute of Architects. All rights reserved. WARNING: This AIA\* Document is protected by U.S. Copyright Law and International Treaties. Unauthorized reproduction or distribution of this AIA\* Document, or any portion of it, may result in severe civil and criminal penalties, and will be prosecuted to the maximum extent possible under the law. This draft was produced by AIA software at 14:45:21 ET on 02/20/2019 under Order No.6894257823 which expires on 02/07/2020, and is not for resale.

User Notes:

(911880794)

- § A.1.5.1.5 With each Application for Payment where the Contract Sum is based upon a Stipulated Sum,, the Design-Builder shall submit the most recent schedule of values in accordance with the Design-Build Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. Compensation for design services, if any, shall be shown separately. The schedule of values shall be prepared in such form and supported by such data to substantiate its accuracy as the Owner may require. This schedule of values, unless objected to by the Owner, shall be used as a basis for reviewing the Design-Builder's Applications for Payment.
- § A.1.5.1.6 In taking action on the Design-Builder's Applications for Payment, the Owner shall be entitled to rely on the accuracy and completeness of the information furnished by the Design-Builder and shall not be deemed to have made a detailed examination, audit or arithmetic verification of the documentation submitted in accordance with Sections A.1.5.1.4 or A.1.5.1.5, or other supporting data; to have made exhaustive or continuous on-site inspections; or to have made examinations to ascertain how or for what purposes the Design-Builder has used amounts previously paid. Such examinations, audits and verifications, if required by the Owner, will be performed by the Owner's auditors acting in the sole interest of the Owner.
- § A.1.5.1.7 Except with the Owner's prior approval, the Design-Builder shall not make advance payments to suppliers for materials or equipment which have not been delivered and stored at the site or Design Builder's office.
- § A.1.5.2 Progress Payments—Stipulated Sum
- § A.1.5.2.1 Applications for Payment where the Contract Sum is based upon a Stipulated Sum shall indicate the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.
- § A.1.5.2.2 Subject to other provisions of the Design-Build Documents, the amount of each progress payment shall be computed as follows:
  - Take that portion of the Contract Sum properly allocable to completed Work as determined by multiplying the percentage completion of each portion of the Work by the share of the Contract Sum allocated to that portion of the Work in the schedule of values, less retainage of « ten » percent ( «10 » %) on the Work. Pending final determination of cost to the Owner of Changes in the Work, amounts not in dispute shall be included as provided in Section 6.3.9 of the Agreement;
  - Add that portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction (or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing), less retainage of «ten » percent ( «10 » %);
  - .3 Subtract the aggregate of previous payments made by the Owner; and
  - Subtract amounts, if any, the Owner has withheld or nullified, as provided in Section 9,5 of the Agreement.
- § A.1.5.2.3 The progress payment amount determined in accordance with Section A.1.5.2.2 shall be further modified under the following circumstances:
  - Add, upon Substantial Completion of the Work, a sum sufficient to increase the total payments to the full amount of the Contract Sum, less such amounts as the Owner shall determine for incomplete Work, retainage applicable to such work and unsettled claims; and (Section 9.8.6 of the Agreement discusses release of applicable retainage upon Substantial Completion of Work.)
  - Add, if final completion of the Work is thereafter materially delayed through no fault of the Design-Builder, any additional amounts payable in accordance with Section 9.10.3 of the Agreement.
- § A.1.5.2.4 Reduction or limitation of retainage, if any, shall be as follows:

(If it is intended, prior to Substantial Completion of the entire Work, to reduce or limit the retainage resulting from the percentages inserted in Sections A.1.5.2.2.1 and A.1.5.2.2.2 above, and this is not explained elsewhere in the Design-Build Documents, insert provisions here for such reduction or limitation.)

«N/A»

3

- §1.5.2.5 Should a reduction in retainage be permitted by Owner, it shall be in strict accordance with §255.078, F.S.
- § A.1.5.4.3 The Owner and Design-Builder shall agree upon (1) a mutually acceptable procedure for review and approval of payments to the Architect, Consultants, and Contractors and (2) the percentage of retainage held on agreements with the Architect, Consultants, and Contractors; and the Design-Builder shall execute agreements in accordance with those terms.

§ A.1.5.5 Final Payment

§ A.1.5.5.1 Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Design-Builder not later than 30 days after the Design-Builder has fully performed the Contract and the requirements of Section 9.10 of the Agreement have been satisfied, except for the Design-Builder's responsibility to correct non-conforming Work discovered after final payment or to satisfy other requirements, if any, which extend beyond final payment.

#### ARTICLE A.2 CONTRACT TIME

§ A.2.1 Contract Time, as defined in the Agreement at Section 1.4.13, is the period of time, including authorized adjustments, for Substantial Completion of the Work.

§ A.2.2 The Design-Builder shall achieve Substantial Completion of the Work not later than « - » ( « - » ) days from the date of this Amendment, or as follows:

(Insert number of calendar days. Alternatively, a calendar date may be used when coordinated with the date of commencement. If appropriate, insert requirements for earlier Substantial Completion of certain portions of the

« Completion Date of September 30, 2021 »

Portion of Work

**Substantial Completion Date** August 31, 2021

, subject to adjustments of the Contract Time as provided in the Design-Build Documents. (Insert provisions, if any, for liquidated damages relating to failure to achieve Substantial Completion on time or for bonus payments for early completion of the Work.)

- §A.2.3 If Design-Builder does not achieve Substantial Completion of the Work by the scheduled date of Substantial Completion, as may be adjusted as provided for elsewhere in the Contract Documents, the Owner and Design-Builder acknowledge and agree that it would be impractical or extremely difficult to estimate the damages which may be sustained by the Owner. Thus, the parties have determined that a reasonable estimate of the damages that the Owner may sustain for every day beyond the date of Substantial Completion is one thousand dollars (\$1)000.00) per calendar day which may be assessed by the Owner as liquidated damages, and not as a penalty.
- >> Subject to the conditions set forth below, the Owner shall pay Design-§ A.2.4 No Excuses Bonus ("NEB"): Builder a lump sum incentive of one hundred thousand dollars (\$100,000.00) if the Work is substantially completed on or before May 21, 2021\_("Drop Dead Date"). The Drop Dead Date shall not be adjusted for any reason, cause or circumstance whatsoever, regardless of the cause of the delay, regardless of it being beyond the control of Design-Builder, and even though it may have been caused by the Owner. Design-Builder acknowledges and agrees that delays may be caused by or arise from any number of events during the course of construction, and affirms that such delays or events and their potential impacts on the performance by the Design-Builder are specifically contemplated and acknowledged by Design-Builder and shall not result in an extension of the Drop Dead Date above. Any and all costs or impacts incurred by the Design-Builder in accelerating the Work to overcome or absorb such delay in an effort to substantially complete the Work by the Drop Dead Date, regardless of whether the Design-Builder successfully meets the Drop Dead Date or not, shall be the sole responsibility of the Design-Builder in every instance, without recourse against the Owner. The determination by the Owner's Representative of Design-Builder's either achieving or not achieving substantial completion of the Work by the Drop Dead Date shall be final and binding on Design-Builder, and not subject to appeal. If the Drop Dead Date is achieved, Design-Builder has the option of either accepting the NEB, or rejecting same, in the event Design-Builder has previously asserted any delay claims that are allegedly compensable based on provisions addressing same elsewhere in the AIA A141 and/or this Amendment. If

accepted, Design-Builder agrees that the NEB shall constitute full and final settlement—and waiver of-- all claims for additional compensation or time extensions that the Design-Builder has submitted, could have submitted, or might otherwise thereafter submit, on its own behalf, or on behalf of any subcontractor or supplier, for work performed up to and including the Drop Dead Date.

Document	Title	Date	Pages
Exhibit "1"	Schedule of V	alues	
.3.1.2 The Specifications her list the specifications		ibit attached to this Amendn	ent.)
			U n
Section	Title	Date	Pages
.3.1.3 The Drawings: TE		attached to this Amendment.	
3.1.3 The Drawings: TE ther list the drawings her		attached to this Amendment.,	Date
A.3.1.3 The Drawings: TE ther list the drawings her BD »  Number  A.3.1.4 The Sustainability the Owner identified a Su prise the Sustainability tainability Plan identified the dementation strategies so I responsibilities associa ting or metrics to verify a	re or refer to an exhibit of the control of the con	Title the Owner's Criteria, identif, number of pages, and include tainable Objective; the targe sustainable Measures; the Ow sustainable Measures; the sp	Date  of the document or documents that the other identifying information. The ted Sustainable Measures; the other is and Design-Builder's roles the other is about design review the other is a count design review.

§ A.3.1.4.1 As of the execution date of this A141 and Exhibit "A," only conceptual plans submitted by Design-Builder with its proposal to the Owner dated January 15, 2019\_currently exist. As provided for in the A141, Owner agrees to pay Design-Builder the lump sum amount of three hundred and ninety thousand dollars (\$390,000.00) for final Project Construction Working Drawings that are acceptable to, and approved by Owner, including related and indigenous pre-construction services. Design-Builder expressly understands and agrees that in the event Owner either a) does not find those final Project Construction Working drawings acceptable, for any reason whatsoever, at Owner's exclusive discretion, or b) Owner, for any reason whatsoever, at its exclusive discretion, decides not to proceed to the Construction Phase with Design-Builder, Owner may terminate the construction phase of the Agreement, without recourse by Design-Builder, except as follows: Payment by Owner to Design-Builder for the lump sum design and engineer services satisfactorily performed through the date of termination by Owner, plus a break-up fee of \$50,000. In such event of termination, Design-Builder, except for the foregoing, expressly waives all claims and damages against Owner, direct, indirect, consequential or otherwise.

AIA Document A141<sup>M</sup> - 2014 Exhibit A. Copyright © 2004 and 2014 by The American Institute of Architects. All rights reserved. WARNING: This AIA\* Document is protected by U.S. Copyright Law and International Treaties. Unauthorized reproduction or distribution of this AIA\* Document, or any portion of it, may result in severe civil and criminal penalties, and will be prosecuted to the maximum extent possible under the law. This draft was produced by AIA software at 14:45:21 ET on 02/20/2019 under Order No.6894257823 which expires on 02/07/2020, and is not for resale.

User Notes:

§ A.3.1.4.2 Additionally, unless and except to the extent that Owner-directed changes to the final Construction Working Drawings materially and substantially depart from the scope of the conceptual drawings provided by Design-Builder, Design-Builder shall not be entitled to an increase in the stipulated sum for construction. § A.3.1.4.3 Design-Builder acknowledges and agrees that the exclusive and discretionary Owner's right of termination, and no adjustment stipulated sum for construction provisions, as set forth in A.3.1.4.1 and A.3.1.4.2 above respectively, are material inducements for Owner entering into this Agreement with Design-Builder. § A.3.1.5 Allowances and Contingencies: (Identify any agreed upon allowances and contingencies, including a statement of their basis) Allowances «Permit Fee Allowance \$10,000.00 » Furnishings, Fixtures and Equipment Allowance \$100,000.00 Allowance for Actual Cost of Builder's Risk Insurance furnished by Design-Builder, not to exceed 1.25% of the stipulated sum \$100,000.00 Fire Alerting System Allowance OptiCom System Allowance \$24,000.00 FPL Allowance \$10,000.00 Contingencies «An Owner Controlled Contingency Reserve in the amount of two hundred thousand dollars (\$200,000.00) is included in the stipulated sum for construction, and is subject to the following terms and conditions: This Contingency Reserve is to be used exclusively by Owner for Changes or Change Directives authorized by Owner during the course of construction, and subject to the terms and conditions set forth in AIA A 141, Article 6. Upon final completion of the Project, any balance in the Contingency Reserve shall inure exclusively to the benefit of the Owner, and a unilateral deductive change order for such balance, if any, shall be issued by Owner.» § A.3.1.6 Design-Builder's assumptions and clarifications: N/A « » § A.3.1.7 Deviations from the Owner's Criteria as adjusted by a Modification: N/A § A.3.1.8 To the extent the Design-Builder shall be required to submit any additional Submittals to the Owner for review, indicate any such submissions below: TBD « » DESIGN-BUILDER'S PERSONNEL, CONTRACTORS AND SUPPLIERS § A.4.1 The Design-Builder's key personnel are identified below: (Identify name, title and contact information.) .1 Superintendent «TBD»

AIA Document A141 - 2014 Exhibit A. Copyright © 2004 and 2014 by The American Institute of Architects. All rights reserved. WARNING: This AIA Document is protected by U.S. Copyright Law and International Treaties. Unauthorized reproduction or distribution of this AIA Document, or any portion of it, may result in severe civil and criminal penalties, and will be prosecuted to the maximum extent possible under the law. This draft was produced by AIA software at 14:45:21 ET on 02/20/2019 under Order No.6894257823 which expires on 02/07/2020, and is not for resale. User Notes: (911880794)

.2 Project Manager

«TBD»

.3 Others

«TBD»

§ A.4.2 The Design-Builder shall retain the following Consultants, Contractors and suppliers, identified below: (List name, discipline, address and other information.)

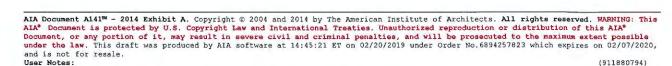
« As defined under Owner's Criteria »

§ A.5.4.3 The agreements between the Design-Builder and Architect and other Consultants identified in the Agreement shall be in writing. These agreements shall be promptly provided to the Owner upon the Owner's written request.

§ A.5.6 Relationship of the Parties

The Design-Builder accepts the relationship of trust and confidence established by this Agreement and covenants with the Owner to exercise the Design-Builder's skill and judgment in furthering the interests of the Owner; to furnish efficient construction administration, management services and supervision; to furnish at all times an adequate supply of workers and materials; and to perform the Work in an expeditious and economical manner consistent with the Owner's interests.

This Amendment to the Agreement entered into as of the day and year first written above.



## "OWNER"

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be executed the day and year hereinabove written.

Witnesses:	CITY OF POMPANO BEACH
	By:REX HARDIN, MAYOR
	By:GREGORY P. HARRISON, CITY MANAGER
Attest:	o. E. C. T. T. M. M. C.
ASCELETA HAMMOND, CITY CLERK	(SEAL)
APPROVED AS TO FORM:	
MARK E. BERMAN, CITY ATTORNEY	
STATE OF FLORIDA COUNTY OF BROWARD	
20 by REX HARDIN as Mayor, GREGOR	vledged before me this day of, Y P. HARRISON as City Manager, and ASCELETA HAMMOND orida, a municipal corporation, on behalf of the municipal corporation,
NOTARY'S SEAL:	NOTARY PUBLIC, STATE OF FLORIDA
	(Name of Acknowledger Typed, Printed or Stamped)
	Commission Number

## "DESIGN BUILDER"

	DiPompeo Construction Corporation
Witnesses:	
MICHAEC E. STEARNS (Print or Type Name)  Light J. Salatia (Print or Type Name)	By:
STATE OF FLORIDA COUNTY OF BROWARD	
, 2019, by J	was acknowledged before me this day of ohn DiPompeo Jr. as Director of DiPompeo Construction ehalf of the corporation. He is personally known to me or
NOTARY'S SEAL:	NOTARY PUBLIC, STATE OF FLORIDA  Construction  (Name of Acknowledger Typed, Printed or Stamped)
DENA AULETTO MY COMMISSION # GG 156603 EXPIRES: February 25, 2022 Bonded Thru Notary Public Underwriters	Commission Number

# DRAFT AIA Document A141™ - 2014 Exhibit B

#### Insurance and Bonds

## for the following PROJECT:

(Name and location or address)

Pompano Beach Fire Station 114 Site Address SW 36 Avenue Pompano Beach, FL 33069

#### THE OWNER:

(Name, legal status and address)

The City of Pompano Beach, FL a political subdivision of the State of Florida 100 West Atlantic Boulevard Pompano Beach, Florida 33060 Attention: Gregory P. Harrison, City Manager

#### THE DESIGN-BUILDER:

(Name, legal status and address)

DiPompeo Construction Corporation 2301 NW 33rd Court 102 Pompano Beach, FL 33069 Attn. John DiPompeo Jr.

### THE AGREEMENT

This Insurance Exhibit is part of the accompanying agreement for the Project, between the Owner and the Design-Builder (hereinafter, the Agreement), dated the « » day of « » in the year «2019».

(In words, indicate day, month and year.)

#### TABLE OF ARTICLES

**B.1 GENERAL** 

**B.2** DESIGN BUILDER'S INSURANCE AND BONDS

**B.3 OWNER'S INSURANCE** 

**B.4** SPECIAL TERMS AND CONDITIONS

#### ARTICLE B.1 **GENERAL**

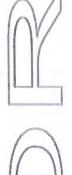
The Owner and Design-Builder shall purchase and maintain insurance and provide bonds as set forth in this Exhibit B. Where a provision in this Exhibit conflicts with a provision in the Agreement into which this Exhibit is incorporated, the provision in this Exhibit will prevail.

#### DESIGN BUILDER'S INSURANCE AND BONDS ARTICLE B.2

§ B.2.1 The Design-Builder shall purchase and maintain the following types and limits of insurance from a company or companies lawfully authorized to do business in the jurisdiction where the Project is located. The Design-Builder shall maintain the required

ADDITIONS AND DELETIONS: The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.



ELECTRONIC COPYING of any portion of this AIA® Document to another electronic file is prohibited and constitutes a violation of copyright laws as set forth in the footer of this document.

AIA Document A141 - 2014 Exhibit B. Copyright © 2004 and 2014 by The American Institute of Architects. All rights reserved. WARNING: This AIA Document is protected by U.S. Copyright Law and International Treaties. Unauthorized reproduction or distribution of this AIA Document, or any portion of it, may result in severe civil and criminal penalties, and will be prosecuted to the maximum extent possible under the law. This draft was produced by AIA software at 14:46:03 ET on 02/20/2019 under Order No.6894257823 which expires on 02/07/2020, and is not for resale. User Notes:

insurance until the expiration of the period for correction of Work as set forth in Section 11.2.2.1 of the Agreement, unless a different duration is stated below:

(If the Design-Builder is required to maintain insurance for a duration other than the expiration of the period for correction of Work, state the duration.)

#### « N/A »

- § B.2.1.1 Commercial General Liability with policy limits of not less than « one million dollars » (\$ « 1,000,000.00 ») for each occurrence and « two million dollars » (\$ « 2,000,000.00 ») in the aggregate providing coverage for claims including
  - .1 damages because of bodily injury, sickness or disease, including occupational sickness or disease, and death of any person;
  - .2 personal injury;
  - .3 damages because of injury to or destruction of tangible property;
  - .4 bodily injury or property damage arising out of completed operations; and
  - contractual liability applicable to the Design-Builder's obligations under Section 3.1.14 of the .5 Agreement.
- § B.2.1.2 Automobile Liability covering vehicles owned by the Design-Builder and non-owned vehicles used by the Design-Builder with policy limits of not less than « one million dollars » (\$ « 1,000,000.00 ») per claim and « one million dollars » (\$ « 1,000,000.00 ») in the aggregate for bodily injury, death of any person, and property damage arising out of the ownership, maintenance and use of those motor vehicles specified in this Section B.2.1.2, along with any other statutorily required automobile coverage.
- § B.2.1.3 The Design-Builder may achieve the required limits and coverage for Commercial General Liability and Automobile Liability through a combination of primary and excess liability insurance, provided such primary and excess insurance policies result in the same or greater coverage as those required under Sections B.2.11 and B.2.1.2.
- § B.2.1.4 Workers' Compensation at statutory limits.
- § B.2.1.5 Employers' Liability with policy limits as provided below:

#### « As required by law »

- § B.2.1.6 Professional Liability covering negligent acts, errors and omissions in the performance of professional services, with policy limits of not less than « one million dollars » (\$ « 1,000,000.00 » ) per claim and « one million dollars » (\$ « 1,000,000,00 ») in the aggregate which Design Builder shall provide through its design professionals but will not be required to obtain a separate policy insuring Design Builder for Professional Liability.
- § B.2.1.7 Pollution Liability covering performance of the Work, with policy limits of not less than « » (\$ « ») per claim and « one million dollars » (\$ « 1,000,000.00 » ) in the aggregate.
- § B.2.1.7.1 The Design-Builder may obtain a combined Professional Liability and Pollution Liability policy to satisfy the requirements set forth in Sections B.2.1.6 and B.2.1.7, with combined policy limits that are not less than a two million dollars » (\$ « 2,000,000.00 » ) per claim and « two million dollars » (\$ « 2,000,000.00 » ) in the aggregate.
- § B.2.1.8 The Design-Builder shall provide written notification to the Owner of the cancellation or expiration of any insurance required by this Article B.2. The Design-Builder shall provide such written notice within five (5) business days of the date the Design-Builder is first aware of the cancellation or expiration, or is first aware that the cancellation or expiration is threatened or otherwise may occur, whichever comes first.
- § B.2.1.9 Additional Insured Obligations. The Owner and its consultants and contractors shall be additional insureds on the Design-Builder's primary and excess insurance policies for Commercial General Liability. The additional insured coverage shall be primary and non-contributory to any of the Owner's insurance policies. The additional insured coverage shall apply to both ongoing operations and completed operations. The policy limits applicable to the additional insureds shall be the same amount applicable to the named insured or, if the policy provides otherwise, policy limits not less than the amounts required under this Agreement.

§ B.2.1.10 Certificates of Insurance. The Design-Builder shall provide certificates of insurance acceptable to the Owner evidencing compliance with the requirements in this Article B.2: (1) prior to commencement of the Work; (2) upon renewal or replacement of each required policy of insurance; and (3) upon Owner's written request. An additional certificate evidencing continuation of liability coverage, including coverage for completed operations, shall be submitted with the final Application for Payment as required by Section 9.10.2 of the Agreement and thereafter upon renewal or replacement of such coverage until the expiration of the time required by Section B.2.1. The certificates will show the Owner and its consultants and contractors as additional insureds on the Design-Builder's primary and excess insurance policies for Commercial General Liability, Automobile Liability, and Pollution Liability. Information concerning reduction of coverage on account of revised limits, claims paid under the General Aggregate or both, shall be furnished by the Design-Builder with reasonable prompthess.

## § B.2.2 Performance Bond and Payment Bond

The Design-Builder shall provide surety bonds as follows: (Specify type and penal sum of bonds.)

> Type Performance and Payment Bonds

Penal Sum (\$5,750,000.00)

Five million seven hundred and fifty thousand dollars.

§ B.2.2.1 Upon the request of any person or entity appearing to be a potential beneficiary of bonds covering payment of obligations arising under the Agreement, the Design-Builder shall promptly furnish a copy of the bonds or shall permit a copy to be made.

#### ARTICLE B.3 OWNER'S INSURANCE

§ B.3.1 Owner's Liability Insurance

The Owner shall be responsible for purchasing and maintaining the Owner's usual liability insurance.

#### § B.3.2 Property Insurance

§ B.3.2.1 Unless otherwise provided, at the time of execution of the Design-Build Amendment, the Owner shall purchase and maintain, in a company or companies lawfully authorized to do business in the jurisdiction where the Project is located, property insurance written on a builder's risk "all-risk" or equivalent policy form in the amount of the initial Contract Sum, plus the value of subsequent Modifications and cost of materials supplied or installed by others, comprising the total value for the entire Project at the site on a replacement cost basis without optional deductibles. If any construction that is part of the Work shall commence prior to execution of the Design-Build Amendment, the Owner shall, prior to commencement of construction, purchase and maintain property insurance as described above in an amount sufficient to cover the total value of the Work at the site on a replacement cost basis without optional deductibles. The insurance required under this section shall include interests of the Owner, Design-Builder, Architect, Consultants, Contractors, and Subcontractors in the Project. The property insurance shall be maintained, unless otherwise provided in the Design-Build Documents or otherwise agreed in writing by all persons and entities who are beneficiaries of the insurance, until the Owner has issued a Certificate of Substantial Completion in accordance with Section 9.8 of the Agreement. Unless the parties agree otherwise, upon issuance of a Certificate of Substantial Completion, the Owner shall replace the insurance policy required under this Section B.3.2 with another property insurance policy written for the total value of the Project that shall remain in effect until expiration of the period for correction of the Work set forth in Section 11.2.2 of the Agreement.

§ B.3.2.1.1 The insurance required under Section B.3.2.1 shall include, without limitation, insurance against the perils of fire (with extended coverage) and physical loss or damage including, without duplication of coverage, theft, vandalism, malicious mischief, collapse, earthquake, flood, windstorm, falsework, testing and startup, temporary buildings and debris removal, including demolition occasioned by enforcement of any applicable legal requirements, and shall cover reasonable compensation for the Design-Builder's services and expenses required as a result of such insured loss.

§ B.3.2.1.2 If the insurance required under Section B.3.2.1 requires deductibles, the Owner shall pay costs not covered because of such deductibles.

§ B.3.2.1.3 The insurance required under Section B.3.2.1 shall cover portions of the Work stored off the site, and also portions of the Work in transit.

ALA Document A141 - 2014 Exhibit B. Copyright © 2004 and 2014 by The American Institute of Architects. All rights reserved. WARNING: This Document is protected by U.S. Copyright Law and International Treaties. Unauthorized reproduction or distribution of this AIA° ment, or any portion of it, may result in severe civil and criminal penalties, and will be prosecuted to the maximum extent possible under the law. This draft was produced by AIA software at 14:46:03 ET on 02/20/2019 under Order No.6894257823 which expires on 02/07/2020, and is not for resale.
User Notes:

- § B.3.2.1.4 Partial occupancy or use in accordance with Section 9.9 of the Agreement shall not commence until the insurance company or companies providing the insurance required under Section B.3.2.1 have consented to such partial occupancy or use by endorsement or otherwise. The Owner and the Design-Builder shall take reasonable steps to obtain consent of the insurance company or companies and shall, without mutual written consent, take no action with respect to partial occupancy or use that would cause cancellation, lapse or reduction of insurance.
- § B.3.2.2 Boiler and Machinery Insurance. The Owner shall purchase and maintain boiler and machinery insurance, which shall specifically cover commissioning, testing, or breakdown of equipment required by the Work, if not covered by the insurance required in Section B.3.2.1. This insurance shall include the interests of the Owner, Design-Builder, Architect, Consultants, Contractor and Subcontractors in the Work, and the Owner-and Design-Builder shall be named insureds.
- § B.3.2.3 If the Owner does not intend to purchase the insurance required under Sections B.3.2.1 and B.3.2.2 with all of the coverages in the amounts described above, the Owner shall inform the Design-Builder in writing prior to any construction that is part of the Work. The Design-Builder may then obtain insurance that will protect the interests of the Owner, Design-Builder, Architect, Consultants, Contractors, and Subcontractors in the Work. The cost of the insurance shall be charged to the Owner by an appropriate Change Order. If the Owner does not provide written notice, and the Design-Builder is damaged by the failure or neglect of the Owner to purchase or maintain insurance as described above, the Owner shall bear all reasonable costs and damages attributable thereto.
- § B.3.2.4 Loss of Use Insurance. At the Owner's option, the Owner may purchase and maintain insurance to insure the Owner against loss of use of the Owner's property due to fire or other hazards, however caused. The Owner waives all rights of action against the Design-Builder for loss of use of the Owner's property, including consequential losses due to fire or other hazards covered under the property insurance required under this Exhibit B to the Agreement.
- § B.3.2.5 If during the Project construction period the Owner insures properties, real or personal or both, at or adjacent to the site by property insurance under policies separate from those insuring the Project, of if after final payment property insurance is to be provided on the completed Project through a policy or policies other than those insuring the Project during the construction period, the Owner shall waive all rights in accordance with the terms of Section B.3.2.7 for damages caused by fire or other causes of loss covered by this separate property insurance. All separate policies shall provide this waiver of subrogation by endorsement or otherwise.
- § B.3.2.6 Before an exposure to loss may occur, the Owner shall file with the Design-Builder a copy of each policy that includes insurance coverages required by this Section B.3.2. Each policy shall contain all generally applicable conditions, definitions, exclusions and endorsements related to this Project. The Owner shall provide written notification to the Design-Builder of the cancellation or expiration of any insurance required by this Article B.3. The Owner shall provide such written notice within five (5) business days of the date the Owner is first aware of the cancellation or expiration, or is first aware that the cancellation or expiration is threatened or otherwise may occur, whichever comes first.
- § B.3.2.7 Waivers of Subrogation. The Owner and Design-Builder waive all rights against (1) each other and any of their consultants, subconsultants, contractors and subcontractors, agents and employees, each of the other, and (2) any separate contractors described in Section 5.13 of the Agreement, if any, and any of their subcontractors, subsubcontractors, agents and employees, for damages caused by fire or other causes of loss to the extent covered by property insurance obtained pursuant to Section B.3.2 or other property insurance applicable to the Work and completed construction, except such rights as they have to proceeds of such insurance held by the Owner as fiduciary. The Owner or Design-Builder, as appropriate, shall require of the separate contractors described in Section 5.13 of the Agreement, if any, and the subcontractors, sub-subcontractors, agents and employees of any of them, by appropriate agreements, written where legally required for validity, similar waivers each in favor of the other parties enumerated herein. The policies shall provide such waivers of subrogation by endorsement or otherwise. A waiver of subrogation shall be effective as to a person or entity even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, did not pay the insurance premium directly or indirectly, and whether or not the person or entity had an insurable interest in the property damaged.
- § B.3.2.8 A loss insured under the Owner's property insurance shall be adjusted by the Owner as fiduciary and made payable to the Owner as fiduciary for the insureds, as their interests may appear, subject to requirements of any

User Notes:

(1263548499)

applicable mortgagee clause and of Section B.3.2.10. The Design-Builder shall pay the Architect, Consultants and Contractors their just shares of insurance proceeds received by the Design-Builder, and by appropriate agreements, written where legally required for validity, the Design-Builder shall require the Architect, Consultants and Contractors to make payments to their consultants and subcontractors in similar manner.

§ B.3.2.9 If required in writing by a party in interest, the Owner as fiduciary shall, upon occurrence of an insured loss, give bond for proper performance of the Owner's duties. The cost of required bonds shall be charged against proceeds received as fiduciary. The Owner shall deposit in a separate account proceeds so received, which the Owner shall distribute in accordance with such agreement as the parties in interest may reach, or as determined in accordance with the method of binding dispute resolution selected in the Agreement between the Owner and Design-Builder. If after such loss no other special agreement is made and unless the Owner terminates the Contract for convenience, replacement of damaged property shall be performed by the Design-Builder after notification of a Change in the Work in accordance with Article 6 of the Agreement.

§ B.3.2.10 The Owner as fiduciary shall have power to adjust and settle a loss with insurers unless one of the parties in interest shall object in writing within five days after occurrence of a loss to the Owner's exercise of this power. If an objection is made, the dispute shall be resolved in the manner selected by the Owner and Design-Builder as the method of binding dispute resolution in the Agreement. If the Owner and Design-Builder have selected arbitration as the method of binding dispute resolution, the Owner as fiduciary shall make settlement with insurers or, in the case of a dispute over distribution of insurance proceeds, in accordance with the directions of the arbitrators.

#### ARTICLE B.4 SPECIAL TERMS AND CONDITIONS

User Notes:

Special terms and conditions that modify this Insurance and Bonds Exhibit, if any, are as follows:

« Minimum Umbrella Liability coverage of five million dollars (\$5,000,000.00) »



5

# DRAFT AIA Document A141 - 2014 Exhibit C

# Sustainable Projects

#### for the following PROJECT:

(Name and location or address)

Pompano Beach Fire Station 61 2121 NW 3rd Ave. Pompano Beach, FL 33060

#### THE OWNER:

(Name, legal status and address)

The City of Pompano Beach, FL a political subdivision of the State of Florida 100 West Atlantic Boulevard Pompano Beach, Florida 33060 Attention: Gregory P. Harrison, City Manager

#### THE DESIGN-BUILDER:

(Name, legal status and address)

DiPompeo Construction Corporation 2301 NW 33rd Court 102 Pompano Beach, FL 33069 Attn.: John DiPompeo Jr.

#### THE AGREEMENT

This Sustainable Projects Exhibit is part of the accompanying agreement for the Project between the Owner and Design-Builder (hereinafter, the Agreement) dated the « » in the year « 2019 ». (In words, indicate day, month and year.)

#### TABLE OF ARTICLES

C.1 **GENERAL PROVISIONS** 

C.2 **DESIGN-BUILDER** 

C.3 OWNER

C.4 **CLAIMS AND DISPUTES** 

C.5 MISCELLANEOUS PROVISIONS

C.6 SPECIAL TERMS AND CONDITIONS

#### ARTICLE C.1 GENERAL PROVISIONS

§ C.1.1 This Exhibit, in conjunction with the Sustainability Plan and other Design-Build Documents, establishes requirements of the Owner, and the services to be provided by the Design-Builder, when the Project includes a Sustainable Objective. Except in the case of a conflict with the Owner's Criteria, where a provision in this Exhibit conflicts with a provision in the Agreement into which this Exhibit is incorporated, the provision in this Exhibit will prevail.

ADDITIONS AND DELETIONS: The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.





ELECTRONIC COPYING of any portion of this AIA® Document to another electronic file is prohibited and constitutes a violation of copyright laws as set forth in the footer of this document.

AIA Document A141<sup>M</sup> - 2014 Exhibit C. Copyright © 2004 and 2014 by The American Institute of Architects. All rights reserved. WARNING: This AIA\* Document is protected by U.S. Copyright Law and International Treaties. Unauthorized reproduction or distribution of this AIA\* Document, or any portion of it, may result in severe civil and criminal penalties, and will be prosecuted to the maximum extent possible under the law. This draft was produced by AIA software at 14:46:45 ET on 02/20/2019 under Order No.6894257823 which expires on 02/07/2020, and is not for resale. User Notes:

#### § C.1.2 Definitions

- § C.1.2.1 Sustainable Objective. The Sustainable Objective is the Owner's goal of incorporating Sustainable Measures into the design, construction, maintenance and operations of the Project to achieve a Sustainability Certification or other benefit to the environment, to enhance the health and well-being of building occupants, or to improve energy efficiency. If not set forth in the Owner's Criteria, the Sustainable Objective will be identified in the Sustainability Plan.
- § C.1.2.2 Sustainable Measure. A Sustainable Measure is a specific design or construction element, or post occupancy use, operation, maintenance or monitoring requirement, that must be completed in order to achieve the Sustainable Objective. The Owner and Design-Builder shall each have responsibility for the Sustainable Measure(s) allocated to them in the Sustainability Plan.
- § C.1.2.3 Sustainability Plan. The Sustainability Plan is a Design-Build Document that identifies and describes: the Sustainable Objective; the targeted Sustainable Measures; implementation strategies selected to achieve the Sustainable Measures; the Owner's and Design-Builder's roles and responsibilities associated with achieving the Sustainable Measures; the specific details about design reviews; testing or metrics to verify achievement of each Sustainable Measure; and the Sustainability Documentation required for the Project.
- § C.1.2.4 Sustainability Certification. The Sustainability Certification is the initial third-party certification of sustainable design, construction, or environmental or energy performance, such as LEED®, Green Globes™, Energy Star or another rating or certification system, that may be designated as the Sustainable Objective or part of the Sustainable Objective for the Project. The term Sustainability Certification shall not apply to any recertification or certification occurring subsequent to the initial certification.
- § C.1.2.5 Sustainability Documentation. The Sustainability Documentation includes all documentation related to the Sustainable Objective, or to a specific Sustainable Measure, that the Owner or Design-Builder is required to prepare in accordance with the Design-Build Documents, Responsibility for preparation of specific portions of the Sustainability Documentation will be allocated among the Owner and Design-Builder in the Sustainability Plan and may include documentation required by the Certifying Authority.
- § C.1.2.6 Certifying Authority. The Certifying Authority is the entity that establishes criteria for achievement of a Sustainability Certification and is authorized to grant or deny a Sustainability Certification.

#### ARTICLE C.2 **DESIGN-BUILDER**

- § C.2.1 Scope of Design-Builder's Sustainability Services Prior to Execution of the Design-Build Amendment § C.2.1.1 The Design-Builder shall provide the Sustainability Services described in this Section C.2.1 in conjunction with the Work described in Article 4 of the Agreement.
- § C.2.1.2 Sustainability Certification Agreements. If the anticipated Sustainable Objective set forth in the Owner's Criteria includes a Sustainability Certification, the Design-Builder shall provide the Owner with copies of all agreements required by the Certifying Authority to register the Project and pursue the Sustainability Certification. The Owner and Design-Builder will review and confirm that the terms of those agreements are acceptable to the Owner before moving forward with the Sustainability Services under this Article C.2. The Owner agrees to execute all documents required by the Certifying Authority to be executed by the Owner; including any documentation required to establish the authority of the Design-Builder, the Architect, Contractor, or a Consultant, as an agent of the Owner for the limited purpose of pursuing the Sustainability Certification.

§ C.2.1.3 Preliminary Design

§ C.2.1.3.1 Sustainability Workshop. Prior to the conclusion of Preliminary Design, the Design-Builder, and as necessary the Design-Builder's Architect, Contractors, and Consultants, shall conduct a Sustainability Workshop with the Owner and, as requested by the Design-Builder, with the Owner's consultants, during which the participants will: review and discuss potential Sustainability Certifications; establish the Sustainable Objective; discuss potential Sustainable Measures to be targeted; examine strategies for implementation of the Sustainable Measures; and discuss the potential impact of the Sustainable Measures on the Project schedule and on the Owner's program and budget.

§ C.2.1.3.2 Sustainability Plan

§ C.2.1.3.2.1 Following the Sustainability Workshop, the Design-Builder shall prepare a Sustainability Plan based on the Sustainable Objective and targeted Sustainable Measures.

§ C.2.1.3.2.2 The Design-Builder shall submit the proposed Sustainability Plan to the Owner as part of the Design-Builder's submission of the Preliminary Design in accordance with Section 4.3.1 of the Agreement. The Sustainability Plan shall not change the Owner's Criteria unless the Owner and Design-Builder execute a Modification reflecting any such change.

§ C.2.1.4 Design-Builder's Proposal

§ C.2.1.4.1 As part of the Design-Builder's submission of the Design-Builder's Proposal, in accordance with Section 4.4.1 of the Agreement, the Design-Builder shall advise the Owner of any adjustments to the Sustainability Plan.

§ C.2.1.4.2 If the Owner and Design-Builder agree upon the Design-Builder's Proposal, including the Sustainability Plan, the Owner and Design-Builder shall include the Sustainability Plan in the Final Project Design and Drawings.

§ C.2.2 Work Following Execution of the Design-Build Amendment

§ C.2.2.1 The Design-Builder shall perform those Sustainable Measures identified as the responsibility of the Design-Builder in the approved Sustainability Plan and any approved changes to the Sustainability Plan.

§ C.2.2.2 Construction Documents. The Construction Documents prepared by the Design-Builder shall incorporate the Sustainable Measures identified in the Sustainability Plan, as appropriate.

§ C.2.2.3 As part of the Sustainable Measures, the Work may require the use of materials and equipment that have had limited testing or verification of performance. The Design-Builder may be unable to determine whether the materials or equipment will perform as represented by the manufacturer or supplier. The Design-Builder shall discuss with the Owner the proposed use of such materials or equipment, and potential effects on the Sustainable Objective that may occur if the materials or equipment fail to perform in accordance with the manufacturer's or supplier's representations. The Owner will render a written decision regarding the use of such materials or equipment in a timely manner. In the event the Owner elects to proceed with the use of such materials or equipment, the Design-Builder shall be permitted to rely on the manufacturer's or supplier's representations and shall not be responsible for any damages arising from failure of the material or equipment to perform in accordance with the manufacturer's or supplier's representations.

#### § C.2.3 Construction Phase

§ C.2.3.1 The Design-Builder shall meet with the Owner to discuss alternatives in the event the Owner recognizes a condition that will affect achievement of a Sustainable Measure or achievement of the Sustainable Objective. If any condition is discovered by, or made known to, the Design-Builder that will adversely affect the Design-Builder's achievement of a Sustainable Measure for which the Design-Builder is responsible pursuant to the Sustainability Plan, the Design-Builder will promptly provide notice to the Owner and meet with the Owner to discuss alternatives to remedy the condition.

§ C.2.3.2 The Design-Builder shall be responsible for preparing and completing the Sustainability Documentation required by the Design-Build Documents, including any Sustainability Documentation required to be submitted after Substantial Completion.

§ C.2.4 Waste Management

The Design-Builder, in accordance with the Design-Build Documents, shall prepare and submit to the Owner a construction waste management and disposal plan setting forth the procedures and processes for salvaging, recycling or disposing of construction waste generated from the Project. The Design-Builder shall recycle, reuse, remove or dispose of materials as required by the Design-Build Documents.

§ C.2.5 Substantial Completion

Verification that the Project has achieved the Sustainable Objective, or the actual achievement of the Sustainable Objective, shall not be a condition precedent to issuance of a Certificate of Substantial Completion in accordance with Section 9.8.5 of the Agreement. Except for that portion of the Sustainability Documentation that by its nature must be provided after Substantial Completion, the Design-Builder shall submit to the Owner the Sustainability

Documentation required from the Design-Builder by the Design-Build Documents no later than the date of Substantial Completion.

#### § C.2.6 Final Completion

- § C.2.6.1 Verification that the Project has achieved the Sustainable Objective, or the actual achievement of the Sustainable Objective, shall not be a condition precedent to issuance of the final Certificate for Payment in accordance with Section 9.10.1 of the Agreement.
- § C.2.6.2 In accordance with Section 9.10.2 of the Agreement, all Sustainability Documentation required from the Design-Builder by the Design-Build Documents shall be submitted to the Owner before final payment or any remaining retained percentage shall become due.
- § C.2.7 Project Registration and Submissions of Sustainability Documentation to the Certifying Authority § C.2.7.1 If the Sustainable Objective includes a Sustainability Certification, the Design-Builder shall perform the services set forth in this Section C.2.7.
- § C.2.7.2 The Design-Builder shall register the Project with the Certifying Authority. Registration fees and any other fees charged by the Certifying Authority, and paid by the Design-Builder, shall be a reimbursable expense.
- § C.2.7.3 The Design-Builder shall collect, organize and manage the Sustainability Documentation; and submit the Sustainability Documentation to the Certifying Authority as required for the Sustainability Certification process.
- § C.2.7.4 Subject to Section C.3.4 and provided the Design-Builder receives timely notice from the Owner or Certifying Authority, the Design-Builder shall prepare and file necessary documentation with the Certifying Authority to appeal a ruling or other interpretation denying a requirement, prerequisite, credit or point necessary to achieve the Sustainability Certification.
- § C.2.7.5 The Design-Builder shall prepare and submit the application for certification of the Project to the Certifying Authority, including any required supporting documentation, in accordance with the Sustainability Plan.
- § C.2.7.6 The Design-Builder shall prepare responses to, and submit additional documentation required by, comments or questions received from the Certifying Authority.
- § C.2.7.7 Any certification, declaration or affirmation the Design-Builder makes to the Certifying Authority shall not constitute a warranty or guarantee to the Owner or the Owner's contractors or consultants.

#### § C.2.8 Copyrights and Licenses

- § C.2.8.1 Solely for the purpose of obtaining or maintaining the Sustainability Certification, the Design-Builder grants to the Owner a nonexclusive license to submit the Design-Builder's Instruments of Service, directly or through third parties, to the Certifying Authority to comply with the requirements imposed by the Certifying Authority and further grants the Owner a nonexclusive license to allow the Certifying Authority to publish the Instruments of Service in accordance with the policies and agreements required by the Certifying Authority. The licenses granted in this Section C.2.8 are valid only if the Owner substantially performs its obligations under the Agreement, including prompt payment of all sums when due.
- § C.2.8.2 The Design-Builder and the Owner warrant that in transmitting Instruments of Service, or any other information, the transmitting party is the copyright owner of such information or has permission from the copyright owner to transmit such information for its use on the Project and to allow the Certifying Authority to publish the Instruments of Service, or any other information, in accordance with the policies and agreements required by the Certifying Authority.
- § C.2.8.3 Submission or distribution of Instruments of Service to meet requirements of a Certifying Authority, in connection with the Project, is not to be construed as publication in derogation of the reserved rights of the Design-Builder or those of the Architect, Consultants and Contractors.

#### ARTICLE C.3 OWNER

§ C.3.1 The Owner shall perform those Sustainable Measures identified as the responsibility of the Owner in the Sustainability Plan, or as otherwise required by the Design-Build Documents. The Owner shall require that each of

its separate contractors and consultants performs the separate contractor's or consultant's services in accordance with the Sustainability Plan.

- § C.3.2 The Owner shall provide to the Design-Builder information requested by the Design-Builder that is reasonably relevant and necessary for achievement of the Sustainable Objective.
- § C.3.3 Unless the Design-Build Documents provide otherwise, the Owner shall provide the services of a commissioning agent who shall be responsible for commissioning of the Project.
- § C.3.4 The Owner shall be responsible for preparing, filing, and prosecuting appeals to the Certifying Authority, or taking any other actions determined by the Owner to be necessary or desirable, arising from the revocation or reduction of an awarded Sustainability Certification.
- § C.3.5 The Owner shall comply with the requirements of the Certifying Authority as they relate to the ownership, operation and maintenance of the Project both during construction and after completion of the Project.

#### ARTICLE C.4 CLAIMS AND DISPUTES

Waiver of Consequential Damages Relating to the Sustainable Objective. The Owner and Design-Builder waive claims against each other for consequential damages resulting from the failure of the Project to achieve the Sustainable Objective or one or more of the Sustainable Measures, including unachieved energy savings, unintended operational expenses, lost financial or tax incentives, or unachieved gains in worker productivity.

#### ARTICLE C.5 MISCELLANEOUS PROVISIONS

The Owner and Design-Builder acknowledge that achieving the Sustainable Objective is dependent on many factors beyond their control, such as the Owner's use and operation of the Project or the work or services provided by the Owner's separate contractors or consultants; or interpretation of credit requirements by a Certifying Authority. Accordingly, the Design-Builder does not warrant or guarantee that the Project will achieve the Sustainable Objective.

### ARTICLE C.6 SPECIAL TERMS AND CONDITIONS

Special terms and conditions that modify this Sustainable Projects Exhibit, if any, are as follows:

« »

